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BESCRIPTION MANAGEMENT

## TECHNICAL FIELD

This invention relates to professional data management 1. systems useful in the production of product specification documents such as prescriptions, service or parts orders, insurance contracts and the like that require detailed

product and history information from multiple extensive 5

information sources, especially remote heterogenous sources. 6

More particularly, the invention relates to systems that 7

assist professionals perform their everyday work in 8

specifying customized technical products. A particularly 9

preferred embodiment relates to a computer-implemented 10

prescription management system to assist physicians in 11

prescribing and reviewing drugs. 12

#### BACKGROUND

2 professional activity undertaken by most physicians during the course of their day is the prescribing 3 of drugs. 4 Many physicians prescribe a great number of drugs 5 Studies show that over two thirds of all doctorpatient encounters were completed with the writing of a 6 prescription. In 1993 typical prescribers were prescribing 7 in excess of two hundred thousand dollars-worth of drugs 8 9 annually. While most physicians exercise the utmost of professional skill and caution in prescribing, there are 10 inherent difficulties and uncertainties in the process. 11 Most physicians will probably agree that they do not have 12 access to adequate, reliable drug information and relevant patient information at the time and point of prescription. In particular, information regarding relevant new drugs, comparative efficacy, and importantly, relative costs, may not be readily and conveniently available to a physician creating a new prescription, as well as relevant patient information such as current conditions being treated, current treatments, and preferred medications for conditions, pursuant to requirements of the patient's drug formulary.

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Nevertheless, while accessing it is impractical for the 24 typical practitioner, such information is available to any 25 physician willing to take the time and make the effort to 26

1 obtain it.

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3 In contrast, integrated patient-specific information which

4 is directly relevant to treatment management for the subject

5 patient is frequently both unavailable to, and unobtainable

6 by, a prescribing physician unless that physician's

7 institution or organization has been exhaustively

8 responsible for the subject patient's prior care and

9 maintains sophisticated computerized records. Information

10 as to allergies, current prescriptions and currently active

11 conditions is clearly desirable or essential for intelligent

12 prescribing. In 1994, few prescribing sessions are

13 conducted with the benefits of integrated patient-specific

information and fewer still have the benefit of specific

drug formulary recommendations on the subject patient.

Typically, drug formularies comprise lists of preferred drugs whose costs will be borne by a drugs benefit house

Drug formulary information is usually determinative of the

cost-effectiveness of a prescription. Unwitting failure by

21 a prescriber to follow formulary guidelines can impose

unnecessary or unexpected cost burdens on the patient, or

23 their benefits provider, and lead to poor patient compliance

and aggravating and time-consuming disputes. The cost in

dollars of non-compliance with drug formulary guidelines to

26 benefit-providing corporations, insurers, health maintenance

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organizations and government providers, for example MEDICAID and MEDICARE, can be enormous.\ The cost of poor patient compliance may ultimately increase the total cost of care by generating a more serious, expensive adverse health outcome ( emergency room visit, or hospital admission or death).

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- A difficulty in making integrated patient-specific 7
- information readily available to prescribing professionals 8
- is that the needed information components are not 9
- centralized but are widely distributed geographically and 10
- even when their geographic or electronic locations are 11
- known, are hard to access because of proprietary and 12
- liability and patient-confidentiality concerns and because 13
- of system, file or protocol incompatibilities. 14

- Even in the computer-abundant United States, in the mid-16
- FIGGI LOGICA 90's, prescription writing is generally a manual process. 17
  - After consulting with a patient to determine their problems 18
  - and diagnosing, or attempting to diagnose their condition or 19
  - disease, a physician selects a drug and a dosage and an 20
  - amount to prescribe based upon their own personal knowledge 21
  - and experience, if necessary using available reference 22
  - 23 materials which may or may not include promotional materials
  - from drug manufacturers. A prescription is then written up 24
  - under the physician's signature and bears a patient 25
  - identification, a drug name, dosage amount and timing, 26

- 1 refillability information and the physician's signature, the
- 2 date, possibly an advisory regarding contraindications, and
- 3 little other information. While a prescription may be
- 4 typed, keyed or otherwise "generated" on a computer most
- 5 prescriptions are still manually written.

- 7 Prescribing activity should be a good field for
- 8 computerization, but one difficulty is the lack of apparent
- 9 benefits to many physicians. Paper prescription pads are
- 10 small and easily carried around by a physician. Manually
- 11 writing a prescription will often be quicker and easier than
- 12 using a computer, however good the system. The benefits of
- 13 automated information systems often come not from greater
- 14 data entry efficiency, but from the increased efficiency of
- 15 the entire process, from the value of the transaction
- 16 records generated and also from the control of the
- 17 transaction entry process which may ensue. Physicians who
- 18 are not computer-literate or who are even "computer-phobic"
- 19 will require a most compelling reason to adopt a
- 20 computerized prescription management system.

- 22 To be fully effective, a prescription management system must
- 23 be readily usable by a wide range of physicians, preferably
- 24 for all their prescribing activity must provide compelling
- value to patient care and increase overall treatment
- 26 management efficiency. Providing an attractive computer-

- 1 based system to physicians is fraught with unexpected
- 2 difficulties.

- 4 Physicians and other health care professionals, especially
- 5 those with prescribing authority, are representative of
- 6 certain groups of professionals whose unique characteristics
- 7 raise obstacles to the computerization of their day-to-day
- 8 professional activities. Desirably, a computerized
- 9 professional management system should be capable of flexible
- 10 integration into their personalized and varied work flows.

- 12 Contrary to many perceptions and assumptions in conventional
- 13 data-management systems intended for use by physicians,
- 14 clinical physicians are not deskbound workers and do not
- 15 usually have continuous access to a personal desktop
- 16 computer during the course of their normal daily routine.
- 17 To the contrary most physicians are ambulatory or even
- 18 highly mobile, moving from room to room, from office to
- 19 office, from hospital to hospital and to and from their car
- 20 and home. While some physicians may spend the majority of
- 21 their health care patient encounter activities at or near a
- 22 desktop in their own office, such physicians are probably
- 23 the exception. In clinics and hospitals physicians are
- often continually on the move between examination rooms,
- 25 reception areas, administrative centers, hospital wards,
- 26 specialist facilities such as radiology rooms and so on and

- 1 so forth. In addition many physicians have more than one
- 2 practice or more than one professional activity which takes
- 3 them between an office or clinic and a hospital or other
- 4 facility on a regular basis. Accordingly, it is a
- 5 significant technical challenge to provide such mobile
- 6 physicians with access to a computer-implemented management
- 7 system that is readily available at the point of care.

9 Portable computers are a possible solution to the access

10 problem now that powerful and compact notebook computers are

11 widely available. Although currently available portable

12 computers offer some advantages particularly to physicians

13 moving between one work place and another, they also suffer

14 certain drawbacks. One drawback is that external

15 communication is difficult being commonly effected by moving

16 diskettes, a valuable but limited method, or by modem

17 connection to a telephone line which inconveniently requires

18 plugging into a wall jack. Though possibly adequate for a

physician having multiple offices, neither the communication
means nor the party into

means nor the portability of such systems is satisfactory

21 for a ward physician moving from patient bed to patient

22 bed. The weights and form factors of traditional portable

23 computers are severe impediments to their assimilation into

24 many clinical physicians' daily lives as dependable

25 assistants to their professional work.

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- 1 More recently, small handheld or palm computers known as
- 2 personal digital assistants or personal information
- 3 communicators have become available. An example is the
- 4 Apple NEWTON (trademark). As of summer 1994, these are
- 5 rather rudimentary devices as compared with desktop or full-
- 6 powered portable systems, having modest permanent and RAM
- 7 storage capacities and limited processing and communications
- 8 abilities. Attractive to busy mobile professionals for
- 9 their small size, such handheld computers can also embody
- 10 highly desirable radio wave or infrared wireless
- 11 communications abilities enabling them to exchange data with
- 12 host systems without the cost or inconvenience of hard
- 13 wiring.
- 15 Such portable hand held radio communicating computing
- 16 devices are attractive for computerizing mobile
- 17 professionals such as physicians, but their processing and
- 18 storage limitations represent a real problem in providing a
- 19 sophisticated, capable and attractive system for physicians.
- 21 A broad objective of this invention is to provide a
- 22 prescription management system that can be used by
- 23 physicians on such mobile computing devices.
- 25 Simply delivering a system on a convenient portable computer
- 26 will not be enough to assure its regular use by a majority

- 1 of physicians. Though highly educated and technically
- 2 skilled, many physicians are not computer literate and are
- averse to confronting a computer screen. Some may even be
- 4 intimidated by computers. Nor do their busy schedules
- 5 permit time to learn complex or difficult systems. Even for
- 6 an experienced user adoption of computer use into their
- 7 daily routines requires time change and adaptation. With
- 8 tremendous competition for their time, physicians will only
- 9 be willing to take these steps if they are enticed by
- 10 powerful system features that provides them with compelling
- 11 value to patient care and overall practice management
- 12 efficiency.
- 14 Nevertheless, the greatest of system features will be
- 15 worthless if the system hinders the professional in
- 16 executing routine functions. Even at sophisticated computer
- 17 products companies with access to, and experience with,
- 18 state-of-the-art systems, telephone sales staff often take
- 19 down orders with pen and pad rather than using an on-line
- 20 sales order systems.
- 22 An experienced professional practicing their specialty for
- 23 example a pediatrician treating infants knows from
- 24 experience exactly what to prescribe, in many instances.
- 25 They will have neither the time nor the patience to work
- 26 their way through conventional software selection and data

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- whereby in creating said prescription said prescriber 1
- specifies a patient condition for treatment by said 2
- prescribed drug. 3

More generally, the invention provides a computer-based (5/z professional product specification system for use by other professionals, in additton to physicians, which can deliver 7

substantial benefits to mobile users who may be computer-8

9 inexperienced.

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By associating a patient condition or problem with each drug prescribed, a treatment objective is both expressed and recorded, numphysician sintent my and deliver for physicians.

the problem is solved by providing a user-friendly 14

which requires prescription management system 15 ring minimal data entry 16

enabling prescriptions to be created with an overall

**17** efficiency unobtainable by any-known automated systemsand

which can helpfully supplement the skills of the best of 18

19 practitioners.

Pursuant to one preferred embodiment of the invention, the 21 22

drugs in the drug list are classified according to a patient

condition for which the drugs are effective and the onscreen 23

drug selection procedure lists multiple drugs for treating 24

each patient problem. 25 In an alternative embodiment, the

user makes a drug selection by generic or brand name or some 26

-12other drug identifier, and the system supplies, suggests or 1 requires, entry of an appropriate treatment condition so 2 that the patient record is completed with the condition or 3 conditions for which the selected drug is prescribed. 4

- The invention also provides a user-adaptive prescription 6
- management system for electronic prescription creation by a 7
- prescriber at a point of patient care, said prescription 8
- being usable by a pharmacist to dispense drugs, said 9
- prescription management system comprising: 10
- a) electronic posting means to select and capture in said 11 12 prescription: 13
  - i) a patient identifier;
- 14 ii) a prescribed drug;
- 15 iii) a dosage for said prescribed drug;
- DOFIDAL LOGIC 16 b) a patient-condition treatment specification procedure whereby in creating said prescription said prescriber specifies a patient condition for treatment by said 18 19
  - prescribed drug;
  - 20 an onscreen drug selection procedure having a patient C)
  - 21 condition list specifying multiple possible patient
  - 22 conditions, having a drug list specifying multiple
  - 23 possible prescribable drugs and having drug
  - 24 specification means to select and post a desired drug
  - to said prescription; and 25
  - 26 tracking means to track preferred data usage by a user

- and to adapt data displays to favor such preferred
  usage, whereby the system learns and adapts to a user's
  habits;
- wherein drugs in said drug list are classified according to
- 5 a patient condition for which said drugs have efficacy and
- 6 said onscreen drug selection procedure lists multiple drugs
- 7 for treating each said patient problem.

- 9 Drug lists or individual drug selections or suggestions may
- 10 be presented to prescriber-users in any of a variety of ways
- 11 for example by frequency of prescription for a selected
- 12 condition, based upon either the user's historical
- 13 prescription activity or a wider base of historical
- 14 prescribing activity, which could be nationally or
- 15 regionally defined or derived from a drugs benefit house,
- 16 health maintenance organization, hospital or other
- 17 appropriate institution.
- 19 System suggestions for condition-related drug selection may
- 20 be further refined into categories such as relative cost,
- 21 generic or brand name and so on. Where many drugs are
- 22 available for treating a patient's active condition, one
- particularly useful presentation is by multiple lines of
- 24 therapeutic preference according to drug formulary
- 25 guidelines. Thus, within the patient's particular formulary
- there may be suggested first, second and third lines of

- 1 therapy. Different suggestions may be made for different
- 2 patients according to the preferences of the patient's
- 3 particular drugs benefit management company.

- 5 Preferably the system includes a comprehensive database of
- 6 approved drugs classified by conditions for which they are
- 7 known to have therapeutic effect and this database need not
- 8 be maintained in the users station but should be accessible
- 9 in real time to the user. Many valuable professional
- 10 benefits are obtained by delivering a selective listing of
- 11 drugs by condition to a physician. For example in treating
- 12 a particular chronic condition such as gastro-intestinal
- 13 disease, a physician may find that common medicaments such
- 14 as antacids are ineffective, that a particular brand name
- 15 drug such as TAGAMET (trademark) has, with prolonged use,
- 16 undesired side effects so that the physician may at this
- 17 point be interested in gaining information about alternative
- 18 drugs with which they are less familiar. If the physician
- 19 does not have the information at their finger tips, this
- 20 could be a time consuming process in their office reviewing
- 21 files and other archival information systems they have.
- 22 Alternatively on-line electronic services may be used but
- 23 this can also be a time consuming process. By offering a
- comprehensive selection of drugs known to be effective for a
- 25 particular condition, this problem is easily solved for the
- 26 physician. The preferred embodiments include back-up

- prescribing information on each drug, along with details of 1
- literature references supporting its manufacturer's 2
- therapeutic claims or with means enabling the physician 3
- promptly to obtain such references. 4

- The invention is not limited to providing a prescription 6
- management system. 7 It can provide, in the medical field
- alone, systems for clinical laboratory management, for 8
- medical record management for radiology management and the 9
- like. In addition the invention can provide novel
- professional data management systems that can create new
- products and yield comparable benefits in other professional
- spheres where professionals are responsible for specifying
- more or less complex technical products to solve client or
- customer problems.

- In this wider aspect the invention provides a professional
- product specification system for electronically creating a 18
- technical specification usable by a professional to specify 19
- technical products said product specification system 20
- 21 comprising:
- a) electronic posting means to select and capture in said 22
- 23 technical specification:
- 24 i) a customer identifier;
- 25 ii) a specified product; and
- b) an onscreen product selection procedure having a 26

1	product benefit list specifying multiple possible
2	customer benefits having a product list specifying
3	multiple possible specifiable products and having
4	product specification means to select and post a
5	desired product to said specification;
6	wherein products in said product list are classified
7	according to a customer benefit which said products can
. 8	provide and said onscreen product selection procedure lists
9	multiple products for providing each said customer benefit.
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日 10 日 11 日 12 日 13	BRIEF DESCRIPTION OF THE DRAWINGS
12	By way of example, some preferred embodiments of the
	invention are described in detail below with reference to
□ 14 □	the accompanying drawings in which:-
<b>□</b> 15	the state of the s
= 16 G	Figure 1 shows a system entry screen of a prescription
<b>17</b>	system embodiment of the invention
18	which system incorporates the screens of
19	Figures 2-11;
20	Figure 2 is a patient selection screen;
21	Figure 3 shows a prescription creation screen;
22	Figure 4 is a condition list selection screen;
23	Figure 5 is a condition selection screen;
24	Figure 6 is a drug selection screen, condition
25	specified;
26	Figure 7 is a nonformulary drug colors.

	1	Figure 8 is an alternative condition-specification and
	2	drug selection screen;
	3	Figure 9 is an alternative direct drug specification
	4	screen;
	5	Figure 10 is a condition selection screen, drug
	6	specified;
	7	Figure 11 is a drug selection evaluation screen;
	8	Figure 12 is a single prescription history screen.
Terre.	9	Figure 13 is a patient problem history information
J	10	screen; and
	11	Figure 14 is a manually updatable problem list
H	12	maintenance screen;
M	137	Figure 15 illustrates a scheduled dosage drug package;
	14 <sup>V</sup>	and \
II Lu	14 V 15 16	Figure 16 is a schematic diagram of one way of
	16	connecting users of the prescription
H	17	management system of Figures 1-14 with remote
	18	source databases across network to provide
	19	data and processing resources needed during
	20	operation of the prescription management
	21	system and useful inter alia for creation of
	22	a virtual patient record,
	23	دا>
	24	DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS
	25	Overview
	26	The prescription management system illustrated in Figures 1

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- 1 14 can be provided in software for single-user operation on
- 2 a stand-alone personal computer for use, for example, by a
- 3 sole practitioner or for multi-user operation on a local
- 4 area network for use, for example, by physicians and other
- 5 prescribers within a single facility, hospital, group
- 6 practice, or the like prescribing organization, and the
- 7 invention can bring substantial benefits to such users and
- 8 their patients.

- 10 However, more significant benefits can accrue to patients,
- 11 physicians, drug benefit providers and the public at large
- 12 by implementation of the described prescription management
- 13 system on a regional or nation-wide basis. To this end, a
- 14 preferred embodiment of prescription management system
- 15 comprises a host computer facility supporting wired or
- 16 wireless network delivery of user-relevant components of
- 17 said prescription management system to multiple remote user
- 18 interface devices.

- 20 The host computer facility provides data, or access to data,
- 21 data processing and communications resources for users to
- 22 draw upon via the user interface devices. The host computer
- 23 facility can be a server or cluster of servers with
- 24 associated data storage volumes, and at least one
- 25 intelligent client providing access to the server or
- 26 servers. As will be explained in more detail hereinafter,

- especially with reference to Figure 16, the host computer 1
- facility can call upon a variety of external resources and 2
- functions as a marshalling and processing center for 3
- organizing resources into useful and manageable pieces for 4
- utilization by limited capacity user-interface devices. 5 In
- a preferred embodiment it is a co-ordination point on a 6
- network for a number of user-device clients. 7 Preferably the
- network accesses or includes a number of remote database 8
- sources providing useful information elements to the system. 9

Referring to Figures 1 to 14 of the drawings, the screens shown employ user-friendly data selection and data entry devices such as are familiar to many computer users in Apple

Corporation's Macintosh (trademark) and Microsoft 14

□ ∰ 15 Corporation's Windows operating systems, for example

[∐ \_\_\_\_16 activatable buttons, pointers, scroll bars, icons, arrow

key, drop-down menus, windows and other screen symbols **17** 

designed for actuation by a pointing device, for example, a 18

19 mouse or trackball. More preferably, for compact "pocket-

book" computer applications, the pointing device is a pen or 20

21 stylus.

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The prescription management system shown in this embodiment 23

of the invention has been designed for implementation on 24

physically compact, portable, user-interface devices such as 25

small portable personal computers, especially hand held 26

- 1 devices known as personal digital assistants. Those skilled
- 2 in the art will understand that the system can readily be
- 3 used on or adapted to other hardware platforms, for example,
- 4 a physician's desktop computer and can be expressed in
- 5 different software interfaces from that shown, especially
- ones that use different input devices such as keyboards,
- 7 touch pads or touch screens and the like.

- 9 Pursuant to certain user-adaptive aspects of this invention,
- 10 the screens automatically personalize themselves, with use,
- 11 to adopt the patterns and habits of a regular user of a
- 12 particular device platform for the system, offering the user
- 13 their most frequently used information, drugs, conditions,
- 14 patients or patient groups, and so on as first line choices.
- 15 This adaptive characteristic is a valuable benefit endearing
- 16 the system to experienced users who may become impatient
- 17 with hierarchically accessed data.

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- 19 Ease of use and suitability of the system to keyless or
- 20 minimally keyed platforms, especially PDA's is promoted by
- 21 minimizing the need for actual text or data entry by the
- 22 user and by emphasizing instead data selection from
- 23 extensive, preferably comprehensive, data lists. Preferred
- 24 embodiments of the invention allow quick pen selection of
- 25 data items through columnar pick lists.

- The data lists, categories, groups, addresses or routes, can 1
- be organized in multiple hierarchies for rapid and flexible 2
- access to multiple large, remote databases, via multiple 3
- access routes to retrieve multiple related data elements and 4
- assemble them into a single data file, for example, a 5
- patient history file compiled from the data resources of a 6
- patient's historical health providers. 7

- A desirable goal is to provide the physician-user with 9
- intelligent data lists that are, where possible, exhaustive 10
- and list, for example, all prescribable drugs, all 11
- conditions, all formularies or all patients and present the 12
- physician with helpful first-line choices or defaults
- selected intelligently on the basis of historical data known 14
- to the system. Preferably, the selection means is fully 15
- system embodied, or automatic, operating transparently to 16
  - the user and requiring a minimum of configurational or setup 17
  - operations by the user. 18

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### Virtual Patient Record

- An ability to compile what may be termed a "virtual" patient 21
- record from multiple remote databases of primary source 22
- information is a valuable novel feature of preferred aspects 23
- of this invention. Such a virtual patient record can be 24
- created in a chronologically current version by online 25
- interrogation of all possible primary sources of 26

- 1 electronically recorded patient history elements, by
- 2 retrieving those elements and assembling them into a
- 3 complete record. Yet the record need neither be drawn from,
- 4 nor committed to, permanent storage, obviating storage
- 5 requirements for accumulations of patient records.

- 7 The record can be assembled dynamically, on an as-needed
- 8 basis, consulted by an authorized system user, and then
- 9 dissolved, without ever having been saved, giving the record
- 10 a virtual character.

- 12 Record element retrieval and record assembly are conducted
- 13 under the auspices of the host computer facility employing a
- 14 novel patient data directory service providing routing
- information to each patient's record elements. For each
- 16 patient, the patient data directory service lists all
- 17 institutions, including independent physicians, hospitals,
- 18 HMO's, insurance companies, and so on, known to have source
- 19 historical records on that patient, against a unique patient
- 20 identifier, such as described hereinbelow. Also listed are
- 21 routing or address data enabling the host facility to access
- 22 institutional databases to retrieve record elements. Access
- 23 protocols detailing, for example, what data can be accessed,
- 24 when it may be accessed, by whom or by what organization or
- 25 department it may be accessed, can be kept in a patient-
- 26 specified directory, or elsewhere.

- 1 Patients not listed in the directory service can be searched
- 2 at the remote source databases and, optionally, at other,
- 3 host computer facilities supporting the inventive system for
- 4 other groups of users.

- 6 The complete, assembled patient history, or record, need
- 7 never be stored, unless the patient requests or consents to
- 8 such storage, and it serves some useful administrative or
- 9 care-related function. Storage or archiving of a record
- 10 that is potentially updatable from multiple uncoordinated
- 11 locations has the drawback of dating it. To become current,
- 12 the record must be refreshed from any database containing a
- 13 new data element for that patient.

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- 15 By using a dynamically assembled virtual record, and never
- 16 storing it, potential problems of maintaining patient
- 17 confidentiality and preventing unauthorized access to highly
- 18 sensitive personal information can be mitigated or avoided.
- 19 This aspect of the invention avoids proliferation of a
- 20 patient's confidential history and permits primary source
- 21 data proprietors to act as exclusive wardens of their
- 22 individual confidential data elements.

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## 24 Bio-pattern recognition

- 25 Bio-pattern recognition of personal user characteristics
- 26 including, for example, handwriting, signatures, voice

- 1 patterns and fingerprints is an attractive medium for
- 2 accepting user inputs, but in the present state of
- 3 development of the technology, suffers drawbacks which
- 4 disfavor use of bio-pattern recognition in preferred
- 5 embodiments of the invention. Future developments such as
- 6 greater processing capabilities in small user-interface
- 7 devices, and more accurate and efficient bio-pattern
- 8 recognition techniques may change this picture and favor
- 9 adoption of one or more forms of bio-pattern recognition.

- 11 Thus, handwriting recognition, is eschewed in preferred
- 12 embodiments of the invention, at the present time, because
- writing is more tiresome to the user than pointing, pressing
- or clicking and adds complexity and processing overhead to
- 15 the system. Additionally, handwriting recognition, although
- 16 presently available in pioneer systems, adds uncertainties,
- 17 may require significant user effort or adaptation and may
- 18 threaten data accuracy or promote user error.

- 20 Signature recognition may be desirable, if permitted by
- 21 regulatory agencies, for remote electronic authorization of
- 22 fulfillment at the pharmacy especially for mail order
- 23 prescription fulfillment and the pharmacy-prescriber link
- 24 can, if desired, add additional levels of security by
- 25 transmitting or exchanging supplemental electronic
- 26 identifiers.

- 1 However, better security, in terms of ensuring that the
- 2 filled prescription is released to the intended patient, or
- 3 their agent, may by provided, by treating an electronic
- 4 prescription transmission to a pharmacy as an advisory
- 5 against which fulfillment may be initiated, while the
- 6 prescription is released only in exchange for a manually
- 7 signed hard (paper) copy. Signature recognition or
- 8 transmission as an individual graphic element, insofar as it
- 9 may be useful or required in the prescribing process, can
- 10 accordingly be incorporated in systems according to the
- 11 invention. Processing demands on the user's device can be
- 12 minimized by confining the device's capabilities to
- 13 recognition of the signatures of only those users authorized
- 14 to use that particular device.
- 16 Adding higher performance hardware to support the processing
- 17 needs of handwriting recognition may be impossible with
- 18 available technology if a preferred lightweight, compact
- 19 form factor is to be retained for the user's device. An aim
- 20 of the invention is to provide a qualified prescribing
- 21 professional with a valuable tool that imposes no
- 22 significant burdens of weight or volume on the user, that
- 23 demands little of their time and yet can respond rapidly,
- 24 delivering valuable drug and patient information to the user
- 25 from remotely located, disparate sources. In other words,
- 26 an aim of the invention is to provide an intelligent,

knowledgeable computerized prescription pad. 1 2 This aim could be compromised by adoption of handwriting 3 recognition technology at the date of this application. 4 Similar problems apply to voice recognition as a significant 5 6 data input medium. Either or both handwriting and voice recognition may be valuable enhancements of future 7 embodiments of the inventive systems especially if future 8 technology makes these capabilities available on smaller 9 10 11 12 13 14 15 16 10 user devices. In particular, limited voice recognition may be valuable as a user identifier for password access or as 11 an authorizing signature. 12 **Security** Security may be provided by password protection operating hierarchically on one or more levels, to provide varying **⊨** 17 degrees of access according to the user's level of authorization, as desired. Additional password or numeric 18 code control may protect sensitive system-accessed 19 information, for example, patient records, or parts thereof, 20 or physician-user data, including personal lists and 21 prescribing profiles. 22

23

Patient record access codes can, in selected instances, be
patient provided, or granted by intelligent security control
cards, having been furnished to the patient by a system

- sensitive details thereof, can thereby be restricted to a 3
- need-to-know basis. Access by third parties to physician-4
- related data can be similarly protected. 5

- Provision for override of such security features should be 7
- available, for example for an emergency room doctor, and is 8
- allowed on a special case exception basis, is auditable, and 9
- traceable to the overriding user. 10

- Password-controlled access to many computer networks is
- often workstation dependent with each workstation using a
- unique password to access the network. Although user
- 10 11 12 13 14 15 16 17 passwords may also be employed, these are often workstation
  - dependent, for example, being incorporated in the
  - workstation's login scripts. In contrast thereto the
  - present invention prefers that user access to the host 18
  - computer facility be device-independent so that a given user 19
  - can access the system via any of numerous devices, provided 20
  - they have the right password or passwords. By this means, 21
  - users are not dependent upon a single device that may be 22
  - lost or misplaced. 23

- A still more preferred feature is to have user passwords 25
- which link each user with an individual profile or style 26

- sheet on the host computer facility representing the user's
- 2 patterns of preferences so that the user-customization
- 3 features of the system, which will be described more fully
- 4 hereinafter, are readily available to the user independently
- 5 of the particular interface device that happens to be
- 6 employed for accessing the system.

- 8 These and other device-independent features can permit the
- 9 prescription management system to be fully operative without
- 10 committing useful data to storage on the user device. This
- 11 is a valuable security feature. In the event of theft or
- 12 attempts at unauthorized use, even by skilled third parties,
- 13 a user device will be worthless as a means to access
- 14 sensitive data on the system or to use the system illegally.

15

- 16 Optionally, lost or stolen devices can be deactivated by the
- 17 application or by system software, after user notification,
- 18 by erasing or otherwise rendering device-resident
- 19 application procedures inoperable, without loss of device-
- 20 resident data. Use of a virtual patient record, as
- 21 described herein, which need not be stored locally, is a
- valuable safeguard against unauthorized access of
- 23 confidential data on lost, stolen or "borrowed" user
- 24 devices.

25

26 <u>Host computer facility</u>

- 1 Currently contemplated preferred embodiments further control
- the processing and storage demands placed on the user's
- 3 device by intelligently delegating data-processing and
- 4 storage activities to a linked remote, host computer
- facility, as referenced above, to the extent warranted by
- 6 the capabilities of the user device. Thus, for example, a
- 7 comprehensive drug database may be stored and maintained on
- 8 such a host computer facility with selected data, for a
- 9 particular drug list or an individual drug's formulation
- 10 characteristics, being forwarded to the user's device on an
- 11 as-needed basis, then being eliminated from the user device
- 12 when no longer required. Other activities may
- advantageously be performed locally on the device, such as
- 14 dynamic assembly of records from elements retrieved across
- 15 the network from remote storage, and storage of the user's
- 16 personal or most frequently referenced data and data lists,
- 17 where the device's capabilities permit.

- 19 Where the user device is more powerful than present-day
- 20 PDA's, for example a present-day desktop computer or perhaps
- 21 the PDA's of the future, more processing and data storage
- 22 functions can be retained at the user device rather than
- 23 delegated to the network. Although permanent (disk,
- 24 diskette or flash memory) storage may have uses, security
- 25 concerns can be better managed on the network than on the
- 26 user device, so that it is preferred that minimal data be

:

- 1 permanently stored on the user device. Accordingly physical
- 2 storage resources of limited user devices are preferably
- 3 allocated to RAM rather than permanent storage.

4

- 5 Advantageously, a user profile can also be stored on the
- 6 host computer facility so that if the user device is lost,
- 7 broken or stolen, a new device can be automatically
- 8 reconfigured across the network linking the user to the host
- 9 facility, so that the application behaves the same.

10

- 11 Preferably such a host computer facility also provides
- 12 customized services to each user device, performing "user-
- 13 adaptive" functions for that device, as described herein, to
- 14 adapt it to its authorized user or user's prescribing
- 15 behavior and improve the level of assistance provided to the
- 16 user. Employing such off-loading techniques, permanent
- 17 storage capabilities of the device can be minimized in favor
- 18 of faster RAM storage capabilities.

- 20 The screens are designed to be non-intimidating to computer-
- 21 inexperienced professionals and to present familiar
- 22 information and terminology to them while avoiding
- 23 specialist computer jargon. Individually, they are easy-to-
- 24 use for novices yet rapid enough for experienced users.
- 25 Collectively, they provide an appealing system interface
- 26 which can flexibly integrate into a physician's personal

1 work flow.

2

In addition, the screens are laid out in the manner of appealing logical forms that echo familiar data formats

5 encountered by a physician in their day-to-day work. An

6 important objective is to make the screens self explanatory

7 within the professional's normal terms of reference so as to

8 avoid any need for access to help, although of course, HELP

9 buttons can be provided if desired and extensive help

10 documentation can also be provided. System utilities such

11 as indexing, setup and purging are either concealed from the

12 user or removed for execution on a remote host computer

13 facility. Data integrity and availability responsibilities

14 are also delegated to the host computer facility, or its

15 remote data suppliers. Thus data saving, archival, backup

16 and data-replication functions are host facility

17 responsibilities, not concerns of the user.

18

19 The system is designed to require a minimum of actual text

20 or data entry. So far as possible, item entry is effected

21 by selection from lists of items, for example by

22 highlighting an item, then clicking a mouse, or more

23 preferably penning, to activate an item.

24

25 The prescription management system is made as user-friendly

26 to physicians as possible, for example, by using familiar

(**)** 

:

1 professional terminology and abbreviations. Thus terms such

2 as "Patient" or "Pt", "Drug" or "Rx", "Condition" or "Dx"

3 and "Treatment" or "Tx" are used rather than confusing

4 generalities such as "subject" and "item" that often appear

5 in generic software. The Prescription Management System

6 shown in this embodiment of the invention has been designed

7 for use with small portable personal computers, especially

8 hand held devices known as personal digital assistants.

9 Those skilled in the art will understand that the system can

10 readily be used on or adapted to other hardware platforms,

11 for example, a physician's desk top computer and can be

expressed in different software interfaces from that shown.

14 Referring now to Figure 1 the system entry screen

15 illustrated has a user-customizable button bar 10 which has

16 been set with a conventional Quit button 12 and a Help

17 button 14, along with a Mail button 16 for accessing an

18 electronic mail ("E-Mail") system, a Prescribing button 18

19 for accessing the prescription management system embodiment

20 of the invention, an Encounter button 20 for accessing a

21 patient encounter management system (not further described

22 herein). Ans Svc button 22 accesses an answering service

23 screen (not shown), which as a convenience function can be

24 dynamically linked via the host computer facility to log

25 incoming calls for the user. The answering service is

26 preferably intelligent and prioritizes, by flagging or

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- displaying, patient- or treatment-related calls, for example
- 2 those from a pharmacy, while screening out or de-prioritizes
- 3 / less relevant calls.

# History-cognitive drug and condition listing

A Doctor's Lists button 24 accesses a more or less complex library

Adisplay of patient condition and therapeutic drug lists.

- 8 Preferably, the drug and condition lists are linked together
- 9 to associate a drug with one or more conditions for which it
- 10 might be prescribed and, in most cases to provide the
- 11 physician user with a conveniently displayed, concise
- 12 selection of drugs for treating any particular condition.
- 13 In a preferred feature of this invention, the system has a
- 14 user-adaptive character and adapts itself to the user's
- habits and prescribing patterns so as to service the user
- 16 more efficiently. To this end, the drug lists or the
- 17 condition lists, or both, are system-modified with use to
- reflect the prescribing frequency of particular drugs or the
- 19 frequency of occurrence of particular conditions. Thus,
- 20 more frequently prescribed drugs or more frequently
- 21 encountered conditions can be presented to the user
- 22 physician in a more prominent manner or more immediate
- 23 manner than ones found by the system to be historically less
- 24 common in the particular user prescribing environment. In
- 25 this way the system becomes more valuable with use as the
- 26 drug and condition lists develop into personalized lists

forer

26

With such cognitive features the inventive system is 3 effectively cognizant of ongoing prescribing activity. 4 comes to know its user's environment and preferences, can 5 adapt itself to any number of specialist situations, and 6 can, if suitably equipped, subtly prompt the user, online 7 with original, relevant, but elusive information derived 8 from the user's computer-memorialized practice experience. 9 For example the system may prompt the user that the last 10 time Drug X was prescribed for Condition Y, Patient Q 11 reported adverse reaction Z. Where the host computer 12 facility documents a catalog of known adverse reactions to 13 system-listed drugs, a system enhancement can report new 14 adverse reactions to the user or centrally, to the host 15 computer facility, by tracking logged patient conditions and 16 relating them, where appropriate, to a previous **17** prescription. In similar manner the system may log drug-18 drug interactions, which interactions can also be associated 19 with a target condition or conditions. Many other valuable 20 retrospective statistical studies and analyses are made 21 possible by deployment of the invention, as will be apparent 22 to those skilled in the art. While such studies are 23 potentially of immense public value if widely implemented, 24 careful controls will be required to avoid reporting 25

unrelated conditions as adverse drug reactions.

- 1 With time, as it adopts appropriate specialist prescribing
- 2 patterns, the user-adaptive prescription management system
- of the invention can be just as relevant and useful to, for
- 4 example, a specialist in tropical medicine as it is to a
- 5 pediatrician. This desirable result can be achieved without
- 6 encumbering either specialist with the needs of the other.

- 8 Those skilled in the art will appreciate that the
- 9 invention's cognitive, user-adaptive features employ
- 10 significant programming routines and procedures and are
- 11 quite different from common, user-responsive software
- 12 defaults which merely offer defaults pre-set by the user or
- 13 simply show the last used item, file or the like as a
- 14 default.

15

- 16 If desired, the user's prescription management system can
- 17 have built-in, online, statistical reporting functions
- 18 enabling a physician user to review their, or others,
- 19 historical experience with a particular drug or condition
- 20 and providing online historical review of any other
- 21 activities or data entrusted to the system.

- 23 Of scientific note is that the system is privy to and
- operates at the confluence of three powerful emergent data
- 25 streams: encyclopedic data on therapeutic agents intended to
- 26 moderate particular conditions or patient problems; data on

- 1 individual prescriber activity using skill and judgment to
- 2 diagnose conditions or problems and make prescribing
- decisions selecting and applying therapeutic agents to
- 4 diminish diagnosed conditions; and patient history data
- 5 recording not only prescribing decisions but also the
- 6 results of those decisions (see the description of Figure
- 7 12, below). Thus, the system captures not only prescribing
- 8 activity but also the prescriber's intent, the problem or
- 9 condition targeted by the prescriber in specifying a
- 10 particular drug, and can track the success of that intent.
- 11 The linkage of treatment with condition treated captures the
- 12 reason why the doctor took the prescribing action that was
- 13 taken. This intent may, and can legally, be different from
- 14 approved FDA therapeutic indications for a drug.
- 16 Of commercial note is that the foregoing data may be
- 17 aggregated for multiple users, for example by the host
- 18 computing facility, for market research purposes. Also, an
- individual user's prescribing patterns may be reviewed by
- 20 the user or by others. For example, drug benefits
- 21 companies, can review the user's prescribing patterns for
- 22 formulary compliance and respond by encouraging better
- compliance, where appropriate. Release of such data to
- third parties can be controlled to safeguard the privacy of
- 25 the prescriber, or other health care provider, by
- prescriber-determined data access protocols specifying who,

- or what organization, department or group, may access what 1
- data, when they may access it and what they can do with it. 2
- For example, one physician may permit academic use for 3
- research studies and prohibit commercial use while another 4 5
- may permit either.

- As will be described in more detail subsequently, a range of 7
- optional features, for example the answering service and e-8 9
- mail features mentioned above, or other communications
- features, can be made available from button bar 10 providing 10 11
- the user with user-configurable means to customize the 12
- system to their personal needs and tastes.

13

# Intelligent drug-selection procedure

- Skeptical prescribers are encouraged to adopt the 15
- prescription management system of the invention, by its 16
- ability to bring to the point-of-care, in readily utilizable 17 18
- form, a battery of relevant drug-specification information 19
- and important patient-related information, much of which is 20
- not readily accessible at the point-of-care by conventional means.
- 21

- Preferred embodiments of the invention achieve this 23
- desirable result by providing an intelligent drug-selection 24
- procedure which is supported by transparent connectivity to 25
- multiple remote proprietary information systems at the point 26

of care, enabling a physician to draw upon the following

data, and not by relying upon redundant non-source data

requiring constant synchronization with source data to

1

2

3

24

25

26

remain current.

categories of data:

### 1 Diagnostic tests

- 2 Items i)-v) above, will be described in considerable detail
- 3 hereinafter. With regard to diagnostic tests and
- 4 procedures, for example radiology, the invention
- 5 contemplates electronically bringing relevant information to
- 6 the point of care to assist health care providers make
- 7 informed decisions. Such diagnostic information may
- 8 comprise recommendations for clarifying a tentative
- 9 diagnosis, or choice of diagnoses, or may comprise
- 10 diagnostic results that can be used to make more informed
- 11 therapy decisions and, in particular, to make better
- 12 therapeutic drug selections. Body system function tests,
- 13 for example renal or liver function tests are clearly
- 14 valuable to a drug selection process, since renal and liver
- 15 condition are important in determining dosages of some
- 16 medications. Other therapy-relevant diagnostic
- determinations can usefully be presented at the point of
- 18 care, by means of the present invention, for example, drug-
- level determinations can enhance dosing decisions.

## Patient encounter program

- A useful, prescription management system-compatible patient
- encounter program can begin with a patient selection screen
- such as that of Figure 2. The patient selection screen of
- Figure 2 can be activated by any one of multiple programs

  which may for any formal and any for any for any for any for any formal and any formal and any for any formal and any formal any formal and any formal
- 26 which may, for example, be initiated via the system entry

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- screen of Figure 1, but could be independent, free-standing 1
- programs or any other program for which the ability to 2
- create, update and modify a patient-specific record or a 3
- patient history is valuable. 4

- Preferred embodiments of software procedures (or programs) 6
- associated with the novel patient record selection procedure 7
- illustrated in Figure 2 can access multiple remote databases 8
- to retrieve patient records, for example, by using the host 9
- computer facility, and can also post new patient records, 10
- and updates, created locally by the physician-user, to the 11
- multiple remote databases in real time, or in batch mode. 12 13

14

### Patient record source data

- Source data for a typical patient record may be distributed 15 16
- across multiple, geographically dispersed, electronically 17
- incompatible, remote databases maintained for example by 18
- drug benefit companies, insurers, laboratories, medical
- facilities, diagnostic testing facilities and health 19 20
- maintenance organizations, including government agencies 21
- (MEDICAID, MEDICARE, etc.) and health care providers 22
- themselves, that have serviced the patient in the past. 23
- Known automated patient record systems either ignore such 24
- remote data and work only with data created at the
- maintaining facility or vertically integrated health care 25 26
- organization, or create and maintain duplicates of the

1 remote data.

2

- Still more preferred embodiments of the invention provide 3
- substantial savings of resources, time and effort by using 4
- only source data for patient records, minimizing creation of 5 6
- multiple redundant local databases that require constant
- synchronization with remote sources if they are to remain 7 8
- accurate and up to date.

9

- The invention also provides novel data-retrieval network 10 11
- systems to retrieve relevant patient data elements from
- multiple remote heterogenous primary source databases. 12 13
- Preferably, every time a host computer facility receives a 14
- call from a user device for a patient history or patient 15
- record, relevant data elements, for that record, or a record 16
- component (e.g. the most recent six-month or twelve-month
- portion), are retrieved from remote source databases, 17 18
- dynamically assembled, or integrated, into a virtual patient
- record, as described above, and delivered to the user device 19 20
- as an integral system data set. Alternatively, record 21
- assembly, which does not require undue hardware resources, 22
- can be performed on board the user device.

- The record is viewed and may be printed out by the user, 24 25
- with patient authorization, but does not need to be
- 26 permanently stored.

- The host computer facility responsible for dynamic assembly 1
- of the virtual record logs the time, date and calling user 2
- to provide an audit trail of access to the patient's record, 3
- but does not commit the record to permanent storage. After 4
- use, the virtual patient record disappears, although it can 5
- be reconstructed archivally. 6

- If the record is required again, it is assembled anew, 8
- thereby incorporating any updates that may have occurred in 9
- the interim, for example changes in drug benefit status, 10
- insurance coverage or the like, newly generated laboratory, 11 12
- radiology or other diagnostic results, or other, e.g.
- emergency, prescriptions dispensed. The act of assembling a 13 14
- record externally of its sources immediately dates the
- record: it is cut off from any updates, and therefore liable 15 16
- to become incomplete, obsolete or dated. Virtual patient 17
- record assembly, as described herein, avoids this problem 18
- making local storage of patient records unnecessary.

- Transactions are archived by the host system to provide a 20
- complete transaction history, so that past activity can be 21
- reconstructed. 22 Such a data-reconstruction capability to 23
- provide clear hind vision of the patient's record at any 24
- given time is an important medicolegal capability. That 25
- historical version is preferably reconstructed from a
- transaction log and assembly of timed and dated record 26

- elements, or segments, in a manner not unlike that used by 1
- version control software. 2

- Creating a virtual patient record permits optimal data 4
- currency and accuracy and, by avoiding unnecessary redundant 5
- copies of patient data minimizes liability for misuse or 6
- unauthorized access. Patient confidentiality can be 7
- maximized and is verifiable by the system-generated audit 8
- 9 trail.

10

- Preferably for individual record elements to be admitted to 11
- the system, they are required to be at least dated and 12
- preferably also to be timed at source, such timing and 13
- dating relating to whatever event created the record. 14
- addition to its value as an integral record characteristic, 15
- chronological data is useful for retrospective archival 16
- reconstruction of a record as it existed (in its elements) 17
- at any given point in time. 18 This can be achieved by
- retrieving record elements, as described above, using a 19
- suitable date filter and if appropriate, a time filter, to 20
- include only those (or selected ones of those) record 21
- elements that existed at the desired given point in time. 22

- Such an archival retrospective record reconstruction 24
- capability is a highly desirable adjunct to the virtual 25
- patient record described herein permitting full creation and 26

examination of any desired historical records, such as may 1 be required for review or legal purposes. 2 3

Using the above-described method of dynamic retrieval from 4

remote databases across a data-retrieval, record-integrating 5

network, source database proprietors can remain wardens of 6

the only copy of that data and obtain patient authorization 7

to be the sole repository of that data. Laboratories can 8

keep laboratory records; insurance companies can keep 9

insurance records; hospitals can keep hospital records; and 10 11

health maintenance organizations can keep their own records;

without ever having to release copies of these records into 12 13

external electronic storage by third parties, with the

14 security hazards attendant upon such releases. Any

electronic release made externally using the data access 15 16

control features described herein can be assured of always

being authorized by whatever entity, be they patient, 17

physician or organization, that has proprietary rights in 18

19 the data.

20

DOESD TEST SOOD

## Piqure 2: Patient selection screen

221 Open selecting Prescribing autton 18 by clicking or pen Select Patient screen 46 23 contact, a patient selection screen, for example as shown in 24

Figure 2, is displayed as a preliminary to prescription

management functions. Referring to the pa 25 Referring to the patient selection

screen of Figure 2, the name, age, gender, and social 26

security numbers of patients who have authorized the user 1

physician to treat them, or to access the system on their 2

behalf, are listed under respective column header buttons, 3

namely, Name button 26, Age button 28, Gender button 30 and 4

Social Security # button 32 5

6

Lists can be scanned, or text entries made in a blank search 7

box 34 at the top of the screen, using string or full name 8

searches to locate the desired patient or to review the 9

patient list. Column headers 26-32 can be clicked or 10

touched to sort the patient list on any of those fields and 11

activate search box 34. Search box 34 is linked to the sort 12

fields so that, for example, if the listing is sorted by 13

social security number then alphabetical entry attempts are 14

rejected from search box 34 and numeric entries are used as 15

social security number locators. The characters can be 16

keyed or system provided from pop-up screens, or voice or 17

handwriting recognition may be employed. 18

New Pt button 36 activates a new patient entry bar, while The Ok button 39 accepts a highlighted patient selection and 21 /

advances to the prescription management screen of Figure 3. 22

Cancel button 38 returns to the system entry screen of 23

24 Figure 1.

25

If desired, preliminary selection of groups of patients can 26

- be made by providing various patient lists, for example
- 2 "Today's Patients", "In-Patients", "Out-Patients", "Private
- 3 Patients" and the like. Such patient lists are preferably
- 4 system-maintained, on an ongoing basis, using the latest
- 5 data available to the system and preferably enable the user
- 6 to select a convenient group of patients that has a high
- 7 probability of including the next patient or patients to be
- 8 encountered, thereby speeding access and retrieval of a
- 9 desired patient record. Where the user typically encounters
- 10 patients in groups, for example one group in an out-patient
- 11 clinic and another group in an in-patient clinic, such
- 12 grouping of patient records into lists also facilitates
- 13 organization by a host computer facility of display data
- 14 into small batches that can more rapidly be communicated via
- 15 limited capacity copper wires and modems and are of a size
- 16 that can conveniently be held in RAM on a small, portable
- 17 user device.

19

#### Patient Data Security

- 20 Critical to public confidence in the prescription management
- 21 system of the invention are issues of security, since the
- 22 system requires access to personal records. Many people
- 23 will fear unauthorized access to or use of their personal
- 24 information. Preferably, the invention provides careful
- controls to alleviate such fears and to prevent unauthorized
- 26 access to a patient's data or to their physician's

prescribing profiles. 1

2

- Preferably also, the system, or an associated support 3
- network, provides data access controls such that the only
- accesses that can occur are those that have been authorized 5
- or preauthorized, at a point of care or elsewhere, in 6
- accordance with security profiles on the network established 7
- on behalf of data-proprietor entities such as patients, 8
- physicians or organizations. It is further preferred that 9
- the entity's security profile, or filter, details what data 10
- can be accessed, when it may be accessed, where it may be 11
- accessed and by whom it may be accessed. 12

13

- 14 Various suitable data access control measures will be known
- to those skilled in the art and considerable security can be 15
- obtained by using more or less complex identifiers for
- patients or for physician-users of the system or for both. 17

- Patient records should use a standard identifier to be 19
- clearly and distinctly identified with a confidence level 20
- appropriate to the expected patient population in the 21
- lifetime of the system so that the records of patients with 22
- similar or identical names are not confused. 23 If desired, a
- coded alphanumeric patient identifier (not shown) may be 24
- 25 used. Alternatively, or in addition, other unique patient
- identifiers such as social security numbers may be used 26

1 alone or as secondary references in conjunction with patient

2 names and the like.

3

4 More relevant to security is proper identification of a user

- 5 to whom patient data is released or from whom new data is
- 6 received by the host computer facility. While numeric or
- 7 alphanumeric user identification codes provide some level of
- 8 security, higher levels are provided by using graphic,
- 9 photographic or fingerprint recognition to identify a system
- 10 user.

11

- 12 More preferred embodiments of the invention can ensure a
- 13 still higher level of confidentiality by automatically
- 14 maintaining a complete audit trail of access to patient
- 15 data. Preferably the audit trail details, for every access,
- 16 who or what organization accessed the record, what part of
- 17 the record was accessed, when it was accessed (both date and
- 18 time) and what was the purpose of viewing the record. Thus,
- 19 associated with every patient record is a timed and dated
- 20 log of every physician user, organization or health care
- 21 professional accessing that record. If desired, the log can
- 22 be reported, or made available to a patient, on request, for
- 23 example through online access (with careful security
- 24 controls), via print or fax, and so on.

25

Patient-directed control of the flow of their own data, a

- novel concept in medical or health care information systems,
- 2 can be achieved by centrally inputting at the or a host
- 3 computer facility patient-generated record-access
- 4 specifications to determine which users, or user
- organizations or departments (for example clinics), can
- 6 access what data during what period and what uses can be
- 7 made of the data. Clearly, such specifications must not
- 8 deleteriously restrict physicians in the execution of their
- 9 professional missions.\ Such record-access specifications or
- 10 profiles could be maintained at a remote database rather
- 11 than the host computer facility. Thus, access to their
- 12 records is controlled by patients and individuals and
- organizations can be given patient-defined, selective access
- or access based on a need to know, or a patient may block
- 15 access to all data flow, if they wish. In emergencies,
- physicians may be able to override a patient security block,
- 17 but such events are recorded so that any abuse can be
- monitored and action can be taken to discourage abusers.

#### 20 MD-Related Data Security

- 21 Many similar data security considerations apply to
- 22 prescriber-related data. Used comprehensively, as it is
- 23 intended to be, the system is privy to full particulars of a
- 24 physician user's professional prescribing behavior, day in,
- 25 day out, potentially throughout their career. System
- 26 resources may be used to compile any desired historical

.

- 1 record of a user's prescribing activities. Patient-
- 2 confidentiality aspects of this data have been addressed
- 3 above and can be satisfactorily managed by controlling
- 4 access to patient-related data in accordance with a
- 5 patient's previously, or currently expressed wishes, as
- 6 described herein. In addressing physician-oriented
- 7 prescribing issues, the historical record may be rendered
- 8 patient-anonymous by stripping the data of recognizable
- 9 patient identifiers, or aggregating the data. The resultant
- 10 historical prescribing data can communicate significant
- 11 information about the prescriber, is personal and
- 12 proprietary to the prescriber.
- 14 Pursuant to this invention, the prescriber's rights in their
- 15 historical prescribing data are protectable in a manner
- 16 similar to the protection affordable to patients, by
- 17 providing prescriber-determined access control
- 18 specifications detailing permissible levels of third-party
- 19 access to prescriber data. Such prescriber data access
- 20 control specifications can be stored in individual files on
- the network and can comprise as to who or what organization,
- or type of organization may access what data, for what
- purpose and for what period of time such access right may be
- effective. Clearly, multiple levels of access control may
- 25 be described to any desired degree of complexity. User
- 26 preferences may include user authorization for data access

- 1 by various third parties for example health maintenance
- organizations (HMO's), hospitals, government agencies,
- 3 managed care organizations and so on.

- 5 A particular group to whom a prescriber may wish to yield
- 6 access rights comprises collective bargaining associations,
- 7 for example independent practitioner associations, preferred
- 8 provider organizations and physician hospital organizations.
- 9 Preferably, all accesses to a prescriber's data are system
- 10 stamped with a date, time and accessor ID, to create an
- 11 audit trail of such accesses, similar to the audit trail
- 12 left by accesses to patient data.

13

- 14 System-determined access control can be invoked, whenever a
- 15 prescriber data access request is received, by referencing
- 16 the prescriber's access control file and permitting or
- 17 denying access in accordance with the file's specifications.

18

### Prescription creation screen 39

- 20 Referring to Figure 3, prescription creation screen 39 has a
- 21/ full array of user-activatable buttons enabling a physician
- 22 to draw on powerful resources within the prescription
- 23 management system and supporting it in the host computer
- facility and associated data-retrieval network, as will
- 25 shortly be described. Near the top of screen 39 is a
- 26 patient features bar 40 below which a prescription features

Que

- 1 bar 42 coordinates all features necessary to review current
- 2 therapy and order changes in treatment, or order new
- 3 treatment, for the selected patient. A prescription history
- 4 zone 43 extends across the middle of the screen, the lower
- 5 screen portion contains a prescribing zone 44, and a screen
- 6 title 45 appears at the top of the screen.

Patient features bar 40 comprises a Select Patient button

- 9/ 46, a selected patient indicator 48, in this case Mary
- 10 Harrington, a patient Problems button 50 and a patient
- 11 Allergies button 52. Beneath Problems button 50 are
- 12 displayed Mary Harrington's currently active problems 51 or
- 13 conditions, shown here as pharyngitis and bronchitis.
- Beneath Allergies button 52 are displayed Mary Harrington's
- 15 known allergies. Pressing or otherwise activating Problems
- button 50 or Allergies button 52 opens a window or screen
- listing problems or allergies from which a physician, or
- other professional user, can select new problems or
- 19 allergies to add to Mary Harrington's record, or delete ones
- 20 that are no longer active. Optionally, system-provided
- 21 problem or allergy libraries may be organized into multiple
- 22 lists with button 50 or 52, respectively, opening a list
- 23 selection box as a preliminary to displaying a selected
- 24 problem or allergy list.

26 Problems or conditions 51 and allergies 53 are here

- 1 displayed as a helpful notation for the prescriber and do
- 2 not become prescription elements as a result of being
- 3 selected for display in this part of the screen. However,
- 4 selections made here are functional in that selected
- 5 problems 51 (conditions) will become defaults or preferred
- 6 choices in a subsequent condition specification procedure
- 7 and the system will review any drugs prescribed for
- 8 relevance to allergies 53.

- 10 Prescription features bar 42 comprises an Rx History button
- 11 54, an Rx Options button 56, an Updating indicator 58, an Rx
- 12 Info button 60 and a Renew Rx button 62.

13

- 14 Prescription history zone 43 displays those historical
- 15 prescription details that may be relevant to a current
- 16 prescription and has a Condition field 64, a Drug field 66,
- 17 a Size field 68 a Dosing field 70, a generic flag 72, an
- 18 Expires field 74 and a Mine field 76, in which the various
- 19 characteristics of patient Mary Harrington's previous
- 20 prescriptions are listed.

- 22 Prescribing zone 44 comprises three active buttons, New Rx
- 23 button 78, Send Rx button 80 and Close button 82, below
- 24 which extends a prescribing header bar 84 which contains
- 25 field identifiers for data entry of a full complement of
- 26 prescription details. Available prescription detail fields

- draws up a "Today's Patients" list or whichever patient list 1
- the user last selected from, or a default, user-selected 2
- patient list, and provides the options of selecting a new 3
- patient from alternative patient lists. 4

- Problems button 50 brings up a patient problem history 6
- information screen such as that shown in Figure 12 (to be 7
- described) in which a historical record of the patient's 8
- individual symptoms and diagnoses is listed and to which new 9
- problem reports can be posted. To maintain data integrity, 10
- and as a legal safeguard, historical information is not 11
- editable but may be supplemented, for example by reporting 12
- the subsequent status of a problem as (still) active or 13
- inactive. Preferably, any such additions to the record are 14
- stamped with the identity of the reporting physician,
- providing valuable elements of a treatment decision-making 16
- 17 audit trail.

- The patient's drug-related allergies, or drug reactions, are 20
- brought up in possibly editable form (screen not shown) by
- activating an Allergies button 48 and may be automatically 21
- system updated, if desired by adding newly reported drug 22 23
- reactions and allergies. Desired personal or drug records
- relevant to possible allergies of this patient may be 24
- summoned from the host computer facility, which may in turn 25
- call on the remote database data-retrieval network for 26

1 records or record elements.

2

3

- 4 Rx History button 54, scrolls, drops down, or otherwise
- 5 accesses any additional patient history lines beyond what
- 6 will fit in prescription history zone 43 and may introduce
- 7 vertical or horizontal scroll bars, or both, into zone 43,
- 8 enabling the user to display any desired section of a
- 9 patient's prescription history in zone 43 with the top line
- of the history highlighted. Any desired prior prescription
- 11 line displayed in zone 43, can be highlighted by clicking or
- 12 pressing on it.

- highlighted prior prescription can be automatically
- 15/ renewed by clicking or pushing an Renew Rx button 62.
- 16 Typically, prescription creation screen 39 opens with the
- most recent prescription highlighted for possible renewal.
- 18 Activating Renew Rx button 62 posts a highlighted prior
- 19 prescription into prescribing zone 44 for automatic renewal,
- 20 after editing, if desired. Renewal of any prior
- 21 prescription can thus be effected in as few as two user
- 22 steps by pressing Renew Rx 62 to post a highlighted
- previous prescription to prescribing zone 44 and a single
- 24 further action to complete a prescription from there. If
- 25 desired option buttons such as Renew and Send Last
- 26 Prescription or Renew All Active Prescriptions can be added.

Pressing header buttons Condition 64, Drug 66, or Expires 1

74 causes the drug history display to be sorted by the 2

selected header enabling the prescription history to be 3

evaluated according to a particular parameter. 4 This feature

is of particular value for patients with long and complex 5

treatment histories. 6

7.

An important novel feature of the inventive prescription 8

management system is the ability to associate a specific 9

patient condition with each drug prescribed. By capturing 10

detailed information on every prescription the system 11

automatically builds a novel patient medical record having 12

new uses in evaluating individual patient treatment and in 13 14

enabling powerful new, multi-center outcome studies for

evaluating therapies in various populations of patients. 15

16

17

By deploying the inventive system regionally, nationally or

in some other population area, and employing the preferred 18

methods for retrieving patient data from remote sources, as 19

described herein, a complete patient record of all activity 20

within a region can be built. Preferably this is a virtual 21 22

patient record dynamically assembled only from original

source data, which, as described above, is maintained in 23

component form at multiple distributed source databases, is 24

retrieved therefrom across a data-retrieval network from 25

which the source databases can be accessed, and is compiled 26

- 1 or assembled into a single virtual or transient record that
- 2 appears to the user as an integral system data resource.

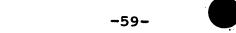
s.qb

- 3 96
- 4 Outcome studies, prescription cost savings and drug alerts
- 5 Patient histories generated by the inventive system can show
- 6 not only the drugs prescribed, but also the conditions for
- 7 which they were prescribed, allergies, demographics,
- 8 insurance coverage, treating health care providers, and so
- 9 on. Known medical management systems do not provide
- 10 listings associating each prescribed drug with a patient
- 11 condition or problem, as reported to, or diagnosed by their
- 12 physician.

13

- 14 Careful review of a patient's record for relationships
- 15 between amelioration of problems and prescription of
- 16 particular drugs can provide important information about the
- 17 efficacy of a drug for a particular problem in a given
- 18 patient. Review of a physician's prescribing record,
- 19 detailing the various drugs selected to treat the different
- 20 conditions exhibited by the patients encountered in the
- 21 physician's daily practice, can reveal valuable information
- 22 about the physician's prescribing practices and the degree
- 23 to which they follow formulary guidelines.

- 25 This information is clearly of value to the individual
- 26 physician and can, if desired, be enhanced by including in



- 1 the problem record a condition severity rating, enabling
- 2 declines (or increases) in severity to be reported. The
- 3 resultant patient prescription history, replete with dated
- 4 information as to patient problems, what drugs were
- 5 prescribed to treat those problems, what forms, routes of
- 6 administration and dosages were used and, by implication
- 7 from the timing and nature of subsequent problems, what the
- 8 outcome of that prescription was, provides a very attractive
- 9 treatment evaluation tool to a physician, and a powerful
- 10 inducement to any professionally conscientious physician to
- 11 use the prescription management system of the invention.

- 13 Implementing the invention on a wider scale, valuable new
- 14 outcome studies and clinical trials are easily, or even
- 15 automatically, performed. One of many problems in
- 16 successfully implementing the herein described prescription
- 17 management system on a large scale is one of funding the
- 18 system. Medical cost structures, with their reimbursement
- 19 systems leave little scope for expenditure on aids to
- 20 overall practice improvements which may have to be squeezed
- 21 out of tight overhead budgets. Accordingly, significant
- 22 cost to the physician user, or user's medical facility will
- 23 be a major deterrent to system adoption. Preferably the
- 24 system is provided to prescribing users on a low-cost or no-
- 25 cost basis with funding from outside sources.

Implementation of the invention is expected dramatically to reduce the overall cost of prescriptions and this saving has 2 been estimated to be from 20 to 40 percent of total 3 prescription costs. Savings will accrue initially to the drug benefit management companies who reimburse the direct 5 costs of most prescriptions, but can be expected eventually 6 to be passed to corporations and consumers by way of lower . 7 drug benefit rates. Such savings realized on a national 8 scale would amount to many billions of dollars and provide 9 an avenue of reimbursement for system proprietors. 10 early 1990's, the cost of prescription drug benefits is one 11 of the fastest rising components of all health care costs. 12 13 14 Outcome studies produced by the system may have substantial value to various parties, and their sale can support system 15 costs, as may formulary compliance savings. For example, 16 drug efficacy data is of value to pharmaceutical companies, as is early warning data from reliable specialists regarding 18 adverse reactions. Subject to confidentiality and other 19 relevant controls, such data can be automatically compiled 20 and readily supplied by system management, requiring only 21 approval, not active participation by involved physician 22 23 prescribers. Equally, the system may facilitate clinical trials by identifying health care providers or prescribers 24 who would be likely participants in trials, based upon their 25 having frequently diagnosed relevant conditions, or 26

whether certain tests were performed, and what were the

results of those tests. Thus, the test drug might be

appropriate for, or be in trials relating to, gastritis

testing positive to H. pylori, whereas a different drug

:

23

24

25

would be indicated for H.pylori-negative gastritis.

2

- 3 The system can also provide, preferably from source
- 4 databases, complete prescription drug disclosure
- 5 requirements as set forth by the FDA, including full
- 6 cautionary information, for example as is now set forth in
- 7 the Physicians' Desk Reference (Medical Economics) and
- 8 Physician's GenRx (Denniston Publishing) knowledge of which
- 9 by the prescriber may be necessary to avoid malpractice
- 10 liability, and dissemination of which may limit a drug
- 11 manufacturer's liability. Efficient promulgation of drug
- 12 disclosure information to system users is tantamount to
- publication, yet can be more current than any printed
- 14 document, and may be accepted as an alternative to hard copy
- 15 publication or supersede it.

- 17 In addition, the system provides a valuable means for
- 18 government agencies and others to communicate important
- 19 messages, such as drug warnings and alerts, quickly and
- 20 directly to physician users. Electronic mail accessed via
- 21 Mail button 16 can be used for this purpose, and may include
- 22 priority flags triggering screen alerts, but a much more
- 23 powerful route for communicating warnings relating to
- 24 particular drugs is to associate the alert with system
- 25 information on the drug so that when a user calls up the
- 26 drug in question, they receive the warning or alert, or

other special message.

2

- 3 In the extreme case of withdrawal of a drug from the market,
- 4 that fact can immediately be communicated to system users.
- 5 Thus a drug can be withdrawn from the market the same day by
- 6 making a system entry preventing completion of a
- 7 prescription for the withdrawn drug. Alternatively, a
- 8 warning can be posted directly to the prescription. Current
- 9 users of the medication can be identified from prescription
- 10 history records, referencing not only drugs prescribed, but
- 11 also prescription expiration dates. Both the patient and
- 12 their doctor can be notified immediately. In this case,
- 13 electronic mail is a preferred route for notifying the
- 14 physician.

15

- 16 Relative cost-to-benefit data can also readily be prepared
- in outcome studies when individual drug costs are factored
- 18 into the data, and such cost:benefit data can, in some
- 19 circumstances have very substantial dollar value to drug
- 20 benefits management companies whose objectives are to
- 21 maximize the quality of care while minimizing the cost of
- 22 that care.

- 24 Pharmaceutical and managed care companies can gain marketing
- 25 benefits from use of the system to introduce new drugs or
- 26 new uses of old drugs to physicians, in a relevant manner,

at a moment of peak interest. 1

2

- Other benefits can be derived from outcome studies using the 3
- novel drug-prescribed and condition-treated data records 4
- provided by the prescription management system of the 5
- 6 For example, the appearance of a new patient
- problem may be insignificant when associated with prior 7
- prescription of a particular drug for one patient, but may 8
- gain significance when repeated for a number of patients. 9

10

- Optional system enhancements may enable post-introduction 11
- market surveillance of new drugs to be conducted for adverse 12
- outcomes to the treatment of a specified condition or 13
- 14 conditions. For example the system may monitor patients
- reporting new problems after having been prescribed the new 15
- drug in question, refer such new problems to the physician 16
- user to qualify them for medical relevance and then 17
- statistically compare a collection of similar reports with 18
- data on a pool of similarly treated patients for 19
- significance. 20

Continuous post-market-introduction monitoring of a drug in relation to the treatment of conditions is possible, and an

end-to-end solution to the problem of managing unanticipated 24 25

problems arising with new drugs can be provided: the system

provides a vehicle, data collecting relevant data; parameters 26 for evaluation

- and a means for analysis of that data; and a means for 1
- disseminating alerts and advisories regarding newly 2
- discovered problems. 3 The same vehicle is used for all three
- 4 steps.

- With such a system enhancement, one specialist pioneering a 6
- new drug for a particular condition may provide an early 7
- warning of adverse reactions not identified in clinical 8
- trials in a manner not heretofore obtainable, because of the 9
- difficulty of coordinating prescription and diagnostic data. 10

11

- Quickly and conveniently presented at the point of care, as 12
- an integral part of the prescribing process, in the manner 13
- achieved by the system of the invention, this information 14
- can be of immense value to a physician when treating a 15
- patient, widening the physicians' choices beyond their own 16
- field of knowledge (by suggesting new drug information) and 17
- helping the physicians optimize the prescribing process. 18

- Another advantage of the invention is that each physician 20
- user inherently and easily supplies critical enabling data 21
- for outcome studies as part of the prescribing process. 22 23
- extra effort is required by the physician to make the data 24
- available for studies. One potential difficulty in making 25
- such studies is the existence of legal barriers to
- aggregating patient data into studies without specific 26

- 1 patient permission. While this might be obtained on a
- 2 piecemeal basis or by the prescribing physician, a much
- 3 better solution is provided by centrally maintaining patient
- 4 directed patient-record-access specifications, as described
- 5 above. The system can then include only those records of
- 6 patients agreeable to becoming study participants in such
- 7 outcome studies.

- 9 The historical drug-prescribed and condition-treated records
- 10 obtainable by using the invention can provide a basis for
- 11 condition-based treatment guidelines developed by drug
- 12 formularies. This novel data provides a new vehicle for
- 13 outcome research for managed care, leading to new approaches
- 14 to cost-effective prescription treatments.

- 16 Compilation of an extensive or national database of
- 17 (patient-anonymous) records providing a statistical
- 18 historical listing of drugs prescribed versus associated
- 19 conditions for which they were prescribed would be in the
- 20 public interest and of considerable value, so long as
- 21 patient-confidentiality were maintained. Widespread
- 22 adoption of the present invention can help achieve this
- 23 desirable goal. It is relevant to note that FDA regulations
- only permit a drug to be promoted for approved, specific
- 25 therapeutic purposes but physicians are professionally free
- 26 to prescribe an approved drug for any condition for which

- 1 they believe the drug to be effective or useful so that,
- 2 failing specific point-of-care diagnostic information, no
- 3 assumptions can be made as to the treatment objectives of
- 4 any particular prescription. Accordingly, prior to the
- 5 present invention, statistical prescribing data have
- 6 generally lacked knowledge of why a physician prescribed a
- 7 particular drug, and such data is, in most cases, not useful
- 8 for outcome studies and cannot be related back to other
- 9 patient-specific variables present in the patient's medical
- 10 record.

12

#### Prescription history record

- 13 Referring to the prescription history zone 43 of the Figure
- 14 3 screen, under the Condition field 64 is listed a condition
- 15 reported as active when the drug was prescribed. Drug field
- 16 66 may be a generic name or a brand name. The Size field 68
- 17 is the dosage size. Dosing field 70 shows the dosing
- 18 frequency. The "G" flag 72 is for generic and is a simple
- 19 yes/no indicator. An Expires field 74 displays an
- 20 expiration date system calculated from the prescription
- 21 quantity (not shown), the size and the dosing rate and
- 22 indicates the day on which the prescription will run out.

- 24 The last column, Mine field 76, is a yes/no toggle flag
- 25 indicating whether the prescribing physician was the current
- 26 system-designated physician user ("Y" = my prescription) or

- 1 some other physician ("N"). Another prescribing physician's
- 2 details and other data relevant to a previous prescription
- 3 can be obtained by pressing Rx Info button 60, or double-
- 4 pressing or -clicking on the appropriate prescription
- 5 history line, to draw down a prescription information
- 6 screen, for example, as shown in Figure 12. Additional
- 7 available options, if any, can be accessed through the Rx
- 8 **Options** button 56.

- 10 Update button 58 can be a simple blinking indicator alerting
- 11 the user that their device is communicating with the host
- 12 computer facility and actively processing a local update.
- 13 To indicate additional time taken accessing remote
- 14 databases, the message can change to "Remote Retrieval", if
- 15 desired. Additionally, Update button 58 can activate
- various update options, selectable from a menu, if desired.
- 17 For example, Update button 58 may offer a selection of
- 18 different sources from which to update the patient's
- 19 prescription history. While a preferred objective of the
- 20 invention is that the prescription management system obtain
- 21 a comprehensive, nationwide update of any previous
- 22 prescribing activity regarding this selected patient,
- 23 considerations of system speed, system development or
- 24 marketing considerations may make it desirable to offer
- 25 patient prescription histories drawn from all prescribing
- 26 activity in a more limited geographical region, for example,

- 1 local or regional updates local network updates or
- 2 capability to update from the physician's institutional or
- 3 office practice information systems.

#### 5 New prescriptions

- 6 Activating the New Rx button 78 highlights the first
- 7 available blank line in the lower portion of the
- 8 prescription management screen for creation of a new
- 9 prescription by a physician-user. During the prescription
- 10 creation process, the user receives intelligent decision
- 11 support from the system of the invention. Preferably, the
- 12 system proffers the prescribing physician comprehensive
- 13 relevant prescribing data to enable creation of a new
- 14 prescription intelligently, in an informed, manner with
- 15 routine look-up functions being fully automated so that
- 16 professional time spent on routine chores is minimized or
- 17 eliminated. To this end, data entries available via both
- 18 Condition button 86 and Drug button 88 are selectable from
- 19 extensive lists, as will be described hereinafter.

20

- 21 As described above, the system provides the user through
- 22 their interface device and a linked host computer facility,
- 23 transparently connectivity to multiple remote proprietary
- 24 databases for retrieving necessary data such as drug and
- 25 condition lists.

:

- 1 Pressing (or clicking on) highlighted fields beneath the
- 2 headers in prescribing header bar 84, in most cases,
- 3 activates pull-down menus, or data entry scrolls. Generic
- 4 field 90 is merely a toggled flag while Expires field 104 is
- 5 a system-calculated field. Although provision can be made
- 6 for a physician to make original entries, the preferred
- 7 embodiment provides a comprehensive selection of system-
- 8 generated drug prescribing data from which the user may make
- 9 selections.

10

- 11 If the user knows the drug they wish to prescribe, the drug
- 12 name may be keyed in or, preferably selected by highlighting
- 13 and clicking from one or more intelligently maintained lists
- 14 presented in drop-down menus to post it to the respective
- 15 highlighted field under Drug header 88. Alternatively, the
- 16 user can select a condition from a condition list and make a
- 17 drug selection appropriate to that condition from a drug
- 18 selection screen such as those shown in Figures 4 through 11
- 19 as will shortly be described in more detail.

20

- 21 Generic flag 90 is a simple yes/no indicator which is linked
- 22 to each drug selection to approve generic drug substitution
- 23 for brand name drugs by the pharmacist, if such substitution
- 24 is permitted by state regulation.

25

### 26 <u>Prescription quantification</u>

- 1 The Form, Size, Route and Amounts headers 92-98 are linked
- 2 to the drug selected and bring system resources to bear to
- 3 enable a prescriber rapidly to quantify the prescription
- 4 with appropriate dosages that can be filled at a pharmacy,
- 5 without undue difficulty. Activating any one of the fields
- 6 under headers 92-98 drops down a menu, which menus together
- 7 offer a selection of all known formulations of the drug
- 8 selected, as provided by the manufacturer, using
- 9 comprehensive drug inventory data accessed via the host
- 10 computer facility or its supporting data-retrieval networks.

- 12 The entry for Form field 92 may be selected from choices
- 13 such as capsule, caplet, tablet, and liquid. That for Size
- 14 field 94 might be a selection of 50 mg, 100 mg, and 200 mg
- and the Route field 96 selections might be "PO" for per
- oral, by mouth, "PR" per rectum, "IV" for intravenous, and
- 17 so on. The displays are related and intelligently selected
- 18 to display relevant options. Thus, for example, if "PO" is
- 19 selected as the route of administration, only PO dosage
- 20 forms are displayed. On the other hand, if PO oral forms
- 21 are selected, "PO" appears as the route of administration.

- 23 The Amt field 98 is the amount or quantity of drug to be
- 24 dispensed in the prescription, for example 30, 50 or 100
- 25 capsules or 50, 55, or 100 ml of liquid. Refill field 100
- 26 shows the number of times refilling is permitted and Dosing

- 1 field 102 has two columns, one being a numeric designation
- of a number of tablets, caplets or liquid dosages to be
- 3 taken at any one time and the other being an alpha
- 4 indication of the dosing frequency such as QD for daily.

- 6 In an optional, modified embodiment of the invention (not
- shown), the system can calculate or suggest effective
- 8 dosages for a selected drug, or a narrow range of effective
- 9 dosages, according to dosage-relevant patient
- 10 characteristics, for example, height, weight, age, sex,
- 11 pregnancy and the like, taking into account the physical
- 12 formulations in which the drug is known to be available.
- 13 While these characteristics might be entered or selected
- 14 from lists during the prescription quantification procedure,
- 15 greater power is obtained by including them on the patient's
- 16 record and having the system reference these characteristics
- 17 each time a new drug is prescribed for that patient and make
- 18 dosage recommendations according to the known behavior of
- 19 the selected drug as it applies to the current patient.

20

- 22 Referring to the embodiment illustrated in the drawings,
- 23 Expires field 104 can be system-calculated field from the
- 24 entries in Amount field 98 and Dosing field 102, to indicate
- 25 the day on which the last dose will be taken.
- 26 Alternatively, the physician-user can select, or enter, an

- 1 expiration date in Expires field 104 for example to coincide
- 2 with a desired duration of treatment, or next visit, the
- 3 system can back-calculate refills or the amount dispensed.

- 5 Back-calculating prescription quantifiers is useful to
- 6 coordinate multiple prescriptions to expire on the same day,
- 7 for the patient's convenience and to reduce potential errors
- 8 or abuses. Another valuable application of an expiration-
- 9 controlled prescription is to benefit plan managers,
- 10 enabling the physician, where appropriate, readily to
- 11 coordinate prescription amounts to preferred schedules and
- 12 programs of drug benefit plan managers, for example a
- 13 ninety-day plan. Such preferred schedules can be system-
- 14 offered or defaulted, if desired.

15

- 16 Alternatively, if desired, means can be provided for the
- 17 physician themselves to write or key in the appropriate
- 18 dosage entries for a selected drug.

- 20 In this preferred embodiment of prescription management
- 21 system according to the invention, the Drug and Condition
- 22 fields 88 and 86 are linked together to express the
- 23 therapeutic objective of the user's prescribing decisions,
- or the prescribing intent of the prescription, as will be
- 25 described in more detail with reference to Figures 4 through
- 26 11.

- 1 As stated above, a preferred objective of the invention is
- 2 to minimize need for keyed data entry, to minimize
- 3 information look-up, or preferably to avoid all need for
- 4 keying, by providing a comprehensive system interfacing with
- 5 the user through easily operated data entry devices such as
- 6 employed in pen-based computer devices. To achieve this
- 7 end, the prescription management screen of Figure 3, is
- 8 preferably supported by comprehensive, fully adequate, up-
- 9 to-date databases of drug information that, in a
- 10 particularly preferred embodiment of the invention, provide
- 11 a physician user with substantially all available relevant
- 12 prescribing information on drugs, especially on those drugs
- 13 they write most frequently, which may be favored with
- 14 preferential device storage on the user's interface device,
- 15 for rapid retrieval. Relevant prescribing information on
- 16 other drugs, written less frequently, or not at all by that
- 17 user is available on the network.

19

#### Prescription fulfillment

- 20 When drug specification is completed to the physician's
- 21 satisfaction, send Rx button 80 is pressed to output the
- 22 newly created electronic prescription in any desired form
- 23 such as to print, to local or remote storage or to remote
- 24 file transfer as an electronic prescription. The electronic
- 25 prescription can be transmitted across a network for
- 26 fulfillment by any specified pharmacy, for example, the

- 1 patient's preferred pharmacy or a pharmacy preferred by the
- 2 patient's drug benefit company for the particular patient's
- 3 locality. Preferred routing options can be provided for the
- 4 patient or the drug benefit plan, or both, and the system
- 5 can default to appropriate options for the patient's benefit
- 6 plan. Routing may be more or less complex and may for
- 7 example split say a one-month prescription to provide a
- 8 bridge prescription giving the patient an immediate one- or
- 9 two-week supply from a local pharmacy, and sending the
- 10 balance of the prescription for fulfillment by a lower cost
- 11 mail order house. If desired, a Bridge Rx button (not
- shown) may be added to prescription creation screen 39 to
- 13 perform such a prescription-splitting function.

# 15 Patient compliance and prescription drug abuse

- 16 Ensuring that a patient complies with the terms of a
- 17 prescribed treatment, neither neglecting nor overindulging
- in a prescribed drug therapy, is a serious problem in health
- 19 care management. It is difficult to ensure that out-
- 20 patients actually ingest the prescribed amounts of
- 21 medication at the prescribed intervals. Many mistakes and
- 22 abuses occur. The problem is exacerbated when a patient is
- 23 prescribed a confusing multiplicity of drugs that may have
- 24 to be ingested in different amounts at different times of
- 25 the day. The present invention enables, and includes,
- 26 unique solutions to this problem that greatly facilitate a

- patient's ability to comply with a simple or complex regimen 1
- of dosages, without costly skilled supervision. 2
- 3 addition, many types of intentional abuse can be monitored
- and possibly prevented. 4
- One approach to enhancing patient compliance, according to 5
- the invention, employs a novel dose-scheduling drug package 6
- that is readily adaptable to accommodating and scheduling 7
- single or multiple prescription dosages to help a patient 8
- take the right dose of the right drug at the right time, and 9
- will be described in detail hereinbelow. 10

- Another approach is, to some extent, inherent in features of 12
- the prescription management system described herein. 13
- multiple physicians accessed by a patient utilize the system 14
- described herein, with common online access to, and assembly 15
- of, a patient's prescription history record whereby that 16
- record provides a current record of new prescriptions, then 17
- a common abuse can be controlled wherein a patient presents 18
- a problem or condition to more than one physician to obtain 19
- multiple prescriptions with a view to indulging in abusive 20
- ingestion or illicit resale. This problem is especially 21
- prevalent with analgesics. Where a physician, or perhaps 22 23
- pharmacist, if the patient's prescription history is
- available to the pharmacist, sees a similar current prior 24
- prescription has been issued, they can refuse to duplicate 25
- 26 it.

- 1 Clearly, regulatory authorities wishing to control such
- 2 abuses can further that goal by encouraging widespread, or
- 3 universal, deployment of the prescription management system
- 4 of the invention. Where the system also provides, for
- 5 example in the patient's history record, notification from a
- 6 pharmacy, or from a drug benefit plan linked to the
- 7 pharmacy, of fulfillment of a prescription, and that
- 8 information is available to the prescriber, for example from
- 9 the patients' history record, another common abuse wherein a
- 10 patient pleads loss of a prescription to obtain a duplicate,
- 11 can also be prevented.

- 13 Bringing fulfillment information from the pharmacy to the
- 14 point of care via the patient's record or other convenient
- 15 reporting medium, with or without the intermediary of a drug
- 16 benefit company linked as a remote source database, can
- 17 provide not only a valuable prescription abuse monitoring
- 18 parameter but can also be used to enhance compliance with
- 19 the prescribed treatment, especially if coupled with an
- 20 alerting system.

- 22 For example, the system may alert a prescriber that the
- 23 intended expiration date of a critical prescription has
- 24 passed without the prescription having been filled. The
- 25 prescriber thus becomes aware that the patient has gone off
- 26 the medication and can take steps to contact the patient and





- 1 alert them to the dangers or problems that may arise.
- 2 Alternatively, routine alerts can be passed to
- 3 administrative personnel associated with the prescribing
- 4 health care provider, notifying them of any unfilled
- 5 prescription after a prespecified period of say two weeks or
- 6 a month, or prescription expiration, or a shorter period for
- 7 more critical medications.

9

## Scheduled dosage drug pack

- 10 A particular benefit the system provides when a patient has
- 11 multiple simultaneous prescriptions is an ability to print
- out a dosing schedule or better still, to generate a
- 13 scheduled dosage multi-drug package from the electronic
- 14 prescription, for example as shown in Figure 15. Because
- 15 the system knows dosage, dosage frequency and the duration
- of all prescriptions, it can report out what pills should be
- 17, taken at different times of the day to comply with the
- 18 requirements of multiple medications. The information used
- 19 for such a further report can drive the dispensing of the
- 20 drugs of a multi-drug prescription into a novel package
- 21 which has multiple labeled or coded compartments for each of
- 22 a number of dosing intervals.

- 24 Figure 15 shows a scheduled dosage drug pack 182 configured
- 25 as a daily pack with the day of the week prominent and the
- 26 date, patient and doctor identified. Across pack 182 run

- 1 three multi-compartment drug bays 184 each of which can
- 2 accommodate up to four different solid drug formulations
- 3 184, pills, capsules, tablets, caplets, or the like and is
- 4 sealed by a tear strip having an opening tab 186. Each bay
- 5 is clearly labeled with a time of day at which the dosage in
- 6 each bay 184 should be taken. Vertical zones 188 are
- 7 dedicated to an individual drug and comprise a header with a
- 8 drug name and special instructions (take with water, after
- 9 food, and so on) and a compartment in each bay 184 for each
- 10 dosage time. To demonstrate the flexibility and dose-
- organizing power of this novel, pack-based system a first
- 12 drug is shown schematically in lefthand zone 188 with
- 13 thrice-daily dosing, a second in left central zone 188 with
- 14 twice-daily dosing and a third in right central zone 188
- 15 with once-a-day dosing. Righthand zone 188 is not used, but
- 16 could be occupied by a fourth drug, the individual dosages
- 17 of which are loaded into those individual compartments of
- 18 Righthand zone 188 that correspond with desired dosage times
- 19 or intervals.

- 21 Clearly, modified drug packs 182 embodying the principles of
- 22 that shown in Figure 15 could be configured for more (or
- 23 fewer) doses or drugs or for different calendar periods, for
- 24 example weekly or monthly packs rather than daily. Nor is
- 25 the card configuration essential, for example, a multi-drug
- 26 container could be in strip or roll or book form, or metal

- 1 foil sheets, with tear or press-out compartments. Dosing
- 2 errors are common with patients with multiple prescriptions,
- 3 especially the elderly. There can for example be difficulty
- 4 in knowing whether a dose has been taken or not. Drug pack
- 5 182 solves these problems in a simple inexpensive manner
- 6 that is prescription controlled to organize multiple doses
- 7 correctly and can be easily followed by most patients.
- 8 Individual sealing of doses is hygienic and child- or
- 9 overdose-resistant. Daily or weekly cards could be
- 10 connected together by hinges to make compact concertina or
- 11 book-like packs supplying a week or a month's prescribed
- 12 drug requirements.

14 Variations on the theme of a scheduled dosage package will

- 15 be apparent to those skilled in the art. If desired, the
- 16 package could be standardized as to the number of dosage
- 17 compartments, providing for example, a compartment for every
- 18 hour, with those compartments lying between desired dosage
- 19 times being obviously blank or never filled. A valuable
- 20 feature of such packaging, which could be embodied in a
- 21 single prescription package, is that by giving the
- 22 physician-prescriber some physical control over the
- 23 circumstances that exist when a patient is supplied with
- 24 drug therapy for remote administration, the prescriber gains
- 25 the freedom to adopt time-related dosage variations during
- 26 the course of therapy, without confusing the patient. In a

- simple example, scheduled packaging might provide one pill
- 2 in the morning, one at lunch time, and two at night, in an
- 3 attempt to maintain blood drug levels through the night.

- 5 Other regimens could provide higher initial dosages to build
- 6 up blood drug levels, followed by lower maintenance dosages.
- 7 In any such case, the patient simply takes, or is
- 8 administered, at any given time, whatever dosage or dosages
- 9 have been packaged into the bay 184 that is appropriately
- 10 identified by patient, time and date. More subtle or more
- 11 complex regimens will be apparent to those skilled in the
- 12 art, for example one drug might be discontinued, and
- 13 possibly resumed after a suitable interval, while another
- 14 continues. Another useful technique to be able to
- 15 administer via the dosage-scheduling package described
- 16 herein is to taper down one drug while beginning to
- 17 administer another, to provide a graduated switchover.
- 18 Changing anticonvulsant therapies from one drug to another
- 19 is an example of where this technique may be useful.

- 21 Prescriber-controlled dosage scheduling can be included in
- 22 the system via an additional window or screen, offering the
- 23 prescriber selection of the relevant variables, such as
- 24 time-related dosages, with defaults or preferred selections
- 25 for what can be system-determined as the most probable or
- 26 most beneficial choices for the patient being treated, or

- 1 accord with the patient's formulary's preferences or with
- 2 the particular prescriber's preferences, pursuant to the
- 3 principles described herein. Specific tapering or starting
- 4 protocols can easily be implemented for outpatients
- 5 decreasing the requirement for costly skilled supervision.

#### 7 <u>Dosing Indicator Device</u>

- 8 For more needy patients, the time- and date-scheduled drug
- 9 packaging described herein can be rendered electronically or
- 10 electro-optically readable, for example with bar-coding or
- 11 by using transparent compartments, to cooperate with a novel
- 12 dosing indicator device that a patient could take with them
- 13 to their home or on their travels. Such a novel dosing
- 14 indicator device, as contemplated herein, includes a time-
- 15 and-date clock and is designed to receive at least one
- 16 scheduled dosage package, as described herein, and to
- 17 inspect that package to determine what drug pills, capsules
- 18 or the like have been removed. In the event that a pill or
- 19 the like is detected in any bay stamped with a date and time
- 20 prior to the date and time clocked by the device, an audible
- 21 or visual or remote alert, or a combined alert, is
- 22 triggered. Inspection sensing is preferably electro-optical
- 23 and targets individual compartments with a light beam that
- 24 is reflected or diffused by an individual pill or associated
- 25 light-modulating tag, or by a bar code stamp or label which
- 26 is required to be removed with each dosage of any drug. The

- 1 device can include a movable scanner that advances in
- 2 relation to a package from one bay 184 to the next, scanning
- 3 relevant compartments in the bay, as time passes, or it can
- 4 comprise an array of photoelectric sensors registering with
- 5 individual compartments of the package, which are
- 6 electronically controlled and read in turn, as time passes.
- 7 Equivalent sensing systems will be apparent to those skilled
- 8 in the art.

- 10 A preferred embodiment of dosing indicator device
- 11 accommodates, within an aesthetically pleasing housing, a
- multi-bay scheduled dosage package, a time-and-date clock, a
- 13 time-related sensor to detect the presence of a drug dosage
- 14 in the bays one or more alerting systems, associated
- 15 electronics which may include a microprocessor, and a power
- 16 supply, for example, a battery, ac connector or remote
- 17 drawdown source, or the like.

- 19 Such a dosing indicator device can be embodied as a motor-
- 20 driven single- or multi-drug dosage dispenser which, for
- 21 example, can house a tape, or strip-like and preferably
- 22 rolled, scheduled dosage package, having a time line along
- 23 the roll, and advances individual bays 184 containing one or
- more dosages for a given dosage time, and presents a single
- 25 bay 184 (containing one or more dosages) for external
- 26 delivery and removal (for example by tearing) by the

1 patient, or patient's aid, in timed relationship to the

2 dosage time (a half hour before, perhaps) and triggers one

- 3 or more alerts if the bay 184 is not removed (a half hour
- 4 after, perhaps).

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- 6 Preferably, each bay is accompanied by written information
- 7 as to the patient, time and date, each drug, and any
- 8 relevant dosing instructions. The individual compartments
- 9 of such a removable bay cannot readily be sensed for the
- 10 presence of individual pills. Clearly a sensor is required
- 11 for the presence of an externally exposed bay. The system
- 12 assumes that the pills in a removed bay will be ingested,
- 13 but this assumption may be wrong on occasion. More rigorous
- 14 patient compliance may be exacted by including in, or in
- 15 association with the device, a receptacle for an emptied bay
- 16 184 and triggering alert means if such emptied bay is not
- 17 received within a specified time interval. Emptied bays can
- 18 be retained within the receptacle. To deter deceit of the
- 19 receptacle it can read a time and date stamp, or other
- 20 unique identifier on bay 184.

- 22 A multipatient version of the drug dosage dispenser
- 23 described herein can also be provided for inpatient use in
- 24 medical or health care facilities, especially hospitals and
- 25 clinics. Such a multipatient version could comprise a
- 26 central dispensing station, located for example at a nurse's

- 1 station. The dispensing station can have multiple ports,
- 2 preferably identified with bed locations and bed-occupants'
- names, whereby scheduled drug dosages for each bed-occupant
- 4 patient are dispensed at scheduled dosage intervals, if
- 5 desired with appropriate alerts or indicators. Nursing or
- 6 other staff can readily remove and administer the correct
- 7 drug dosages for multiple patients, possibly on a single
- 8 round, or at specific times of the day.

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#### Drug contraindications

A further valuable feature of the novel prescription management system described herein is an ability to review a completed prescription for contraindications, or relative

14 contraindications, such as patient allergies to the

15 prescribed drug and such as possible drug-to-drug

16 interactions with other drugs the patient has previously

17 been prescribed. Contraind cations may be clear-cut, for

18 example, penicillin must not be selected for penicillin-

allergic patients, whereas relative contraindications are

less decisive and may be overridden by the prescriber in

21 appropriate circumstances, for example an NSAID (non-

22 steroidal anti-inflammatory drug) may be a preferred choice,

23 in the prescriber's judgment for a patient with peptic ulcer

24 disease, in spite of the attendant risk of ??

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26 The system can also screen or review for other possible

- unintended adverse outcomes to the prescribed therapy, or
- 2 for special precautions regarding a prescribed drug's use.

- 4 Preferably, the system alerts the physician-user at the
- 5 point-of-care if they prescribe an offending agent, and
- 6 provides an alert and an opportunity to amend the
- 7 prescription before dispatching it for fulfillment.
- 8 Processing to screen for interactions may occur on the
- 9 user's point-of-care device or on the host computer facility
- or remote computer system, or may be delegated elsewhere by
- 11 the host computer facility, and reported back to the
- 12 physician, online as an integral function of the
- 13 prescription process. Alternatively, interaction screening
- 14 may be run on pharmacy-related systems, and notification of
- problems can be sent immediately to the user's point-of-care
- 16 device using e-mail or using procedures within the
- 17 prescription management application of the invention.

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- An allergies review can be conducted by checking system-
- 20 stored known allergies of patient Mary Harrington against
- 21 known pharmacokinetics and pharmacodynamics of the newly
- 22 prescribed drug, entered in prescribing zone 44, for any of
- 23 those allergies. Mary Harrington's allergy information is
- 24 preferably an adjunct to her patient record and is
- 25 downloaded to the user device from host computer facility
- 26 when Mary Harrington is selected from the patient selection

Drug allergenic proclivities are also downloaded from one or another remote database employing the host computer facility, under supervision of the inventive prescription management system, but preferably at a later point in the procedure, such as when a particular drug is selected for posting to prescribing zone 44.

Alternatively, the requisite information can be downloaded when the allergy review is conducted. Such allergy screening can alternat\u00e4vely be effected when a new drug is posted to Drug field 88 \ Either way, a positive system finding, indicating a risk of allergic reaction to the newly selected drug can activate a visual indicator or warning, for example, Allergies button 52 may blink and, if desired, an audible warning may sound alterting the physician to reconsider their selection. Alternatively, or additionally, an alert screen can tell the physician of an allergy if an attempt is made to prescribe an offending drug. Such alerts can be used to notify the physician of drug interactions can provide adverse treatment warnings or can alert them to non-compliance with formulary recommendations, for example to the use of an unnecessarily expensive drug, and may be accompanied by suggestions for more appropriate alternative therapies.

25 Equivalent procedures can alert to possible drug

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26 interactions and contraindications, referring to the

- patient's prescription history for possible active or 1
- recently expired prescriptions that may interact with a 2
- newly prescribed drug, and for other patient data relevant 3
- to the drug's behavior in that patient. Alternatively, the 4
- such a review for possible undesired aspects of the drug's 5
- performance on the patient is made upon activating Send Rx 6
- 7 button 80.

#### Electronic prescription transmission 9

- Activation of Send Rx button 80 can provide a drop-down menu 10
- of choices including "Send this prescription" and "Add 11
- prescriptions prior to sending in a batch". 12

- A preferred embodiment of the invention includes a 14
- capability whereby a completed prescription is transmitted 15
- across one or more data networks for fulfillment and record 16
- OPERT TREET. updating in a wired or more conveniently, for mobile 17
  - professionals, a wireless broadcast. Preferably, where new 18
  - information is generated in the prescription creation 19
  - process, relevant remote source databases (which may be 20
  - proprietary) are updated with appropriate components of the 21
  - new information and such updates are effected with proper 22
  - controls to ensure data integrity, confidentiality and 23
  - authenticity. Using the system as described herein, all 24
  - transactions generate an audit trail and are authorized or 25
  - preauthorized by the patient. 26

- 1 Because of the currently substantial cost of air time, batch
- 2 transmission is highly desirable. Accordingly, system
- 3 defaults encourage the physician to elect batch transmission
- 4 of multiple prescriptions for an individual patient,
- 5 although in keeping with the principle of not imposing
- 6 constraints on a physician, the system does not mandate such
- 7 batch transmission. Executing a "Send Prescription"
- 8 function outputs the prescription for fulfillment in any
- 9 desired form, posts the completed new prescription to the
- 10 prescription History zone 43 in the center of the screen,
- 11 and outputs the new prescription from the user's station to
- 12 update a control system or remote database, as desired.
- 13 Prescriptions can be electronically transmitted to a
- 14 pharmacy or pharmacy-management system for fulfillment, or
- 15 printed on paper for paper-based fulfillment by hand
- 16 delivery or fax.
- 18 The inventive prescription management system embodiment
- 19 disclosed herein is designed flexibly to facilitate a
- 20 physician's prescribing activities, to place helpful
- 21 information at their fingertips and reduce manual look-up
- 22 chores, while avoiding any authoritarian direction, mandate
- 23 or constraint upon a physician's professional activities or
- 24 judgement. Thus, while the system may attempt to provide
- 25 intelligent options and exhaustive selection lists, options
- 26 such as "other" are always available to permit the

1 prescriber complete freedom of choice, whether or not their

2 choice is known to system-available databases.

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4 Optional system enhancements provide for enrichment of

5 external communications, for example prescriptions and e-

6 mail with what may be termed "electronic ink" messages

7 generated at the user device. "Electronic ink" refers to

8 notes or messages appended to external communications, or

9 transactions in the form of free text or voice annotations

10 for non-structured instructions, and the like. Voice

11 annotation is particularly convenient, as well as possibly

12 constituting unique user-identification and some currently

13 available low form factor user devices incorporate a

14 microphone, facilitating voice annotation.

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16 Toward the end of prescribing flexibility, to avoid being

second-guessed by physician users, and to command their

respect and loyalty, the system should have access to, and

19 provide to its users fully comprehensive drug and patient

20 information so far as this is available. Comprehensive,

21 accurate and complete drug and patient information are

22 equally important for effective prescribing. It follows

23 that the drug and patient information source databases from

24 which the prescription management system draws, must be

25 maintained up to date, by appropriate network services.

- 1 It is the normal, challenging nature of highly qualified
- 2 professionals that those with the latest news, such as new
- 3 drug releases and approvals, will want immediately to test
- 4 the system for currency with the news.

- 6 The unique source-oriented information retrieval and
- 7 updating system described herein provides preferred means
- 8 for supporting the prescription management system of this
- 9 invention with an adequate infra-structure of data-retrieval
- 10 networks supplying a comprehensive array of up-to-date
- 11 prescribing information and patient-related data to the
- 12 point-of-care. Other suitable information data retrieval
- 13 and updating systems will be apparent to those skilled in
- 14 the art and can be linked to the system of the present
- 15 invention to provide allergy and interaction alerts,
- 16 formulary changes, new drug approvals, and to lock out or
- warn against, the prescribing of inappropriate or recalled
- 18 drugs.

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### Drug and condition selection

Novel drug selection methods pursuant to the invention will

- now be described with reference to Figures 4 to 11. The
- 23 condition list selection screen shown in Figure 4 appears
- 24 upon activation of Condition \field 86 in the prescription
- 25 management screen of Figure 3, to enable a prescriber to
- 26 approach selection of a treatment drug by first specifying a

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- 1 diagnosed condition. Alternatively, a drug may be directly
- 2 specified by drug hame, by activating Drug field 88, as will
- 3 be described in connection with Figure 9, after which the
- 4 prescriber selects a\condition to specify the purpose of the
- 5 therapy. Such condition or drug selection screens can be
- 6 opened by similar condition or drug buttons in any other
- 7 relevant screen or application, for instance in a patient
- 8 encounter screen where the drug selection routines now to be
- 9 described with reference to Rigures 4 to 11 can be used to
- 10 assist a physician to select or review treatment objectives
- in a computer-assisted patient encounter.

## Condition list selection

- 14 The condition list-selection screen of Figure 4, provides a
- 15 preliminary selection of a suitable condition list from
- 16 which a physician user can work to select a drug. As shown,
- 17 the screen comprises a Select Condition List title 110 and a
- 18 Condition List display header 112 beneath which the names of
- 19 Condition Lists 114 are grouped in a left-hand column. A
- 20 right-hand column beneath header 112 displays the conditions
- 21 116 of whichever condition list 114 is highlighted, or
- 22 otherwise selected. In this case the user's personal
- 23 condition list 114 has been highlighted and may be seen to
- 24 comprise a short list of commonly occurring problems that,
- 25 for example, a general practitioner might encounter.

Multiple different Condition Lists 114 are available in this 1 embodiment to provide a range of choices to physicians, and 2 six are shown, by way of example. 3 Three of these lists 114 classify conditions broadly by diagnosis (Dx) and comprise a 4 system-maintained Dx-Personal list 114, an alphabetically 5 organized Dx-Alphabetic list 114 of all conditions in the 6 system and a Dx-Category list 114. Dx-Category list 114 7 lists conditions by broad therapeutic category such as 8 cardiovascular, GI or dermatology. A fourth condition, 9 problem or diagnosis list, Dx-Patient list 114 lists 10 previously exhibited conditions or problems of the selected 11 patient, in this case, Mary Harrington. Dx-Patient list 114 12 is system maintained (and manually supplementable) and 13 changes according to the patient selected in the patient-1,4 selection screen of Figure 2. Dx-Personal list 114 is also 15 system maintained (and manually supplementable) and changes 16 according to which prescriber signs on. 17 18 Preferably, the system includes frequency counters to track 19 the conditions the user encounters with time, and the counts 20 obtained are used automatically to maintain or generate a 21 Dx-Personal list 114 for the user, which more closely 22 portrays patterns of conditions encountered in the user's 23

practice as time goes by. Base periods for reporting usage may be varied, or user selected, to list conditions 25 encountered by frequency in, for example, the last year, the 26

- 1 last five years, or perhaps, the last three months. Also, a
- 2 default can be included to highlight a selected patient's
- 3 last active condition or conditions as a first-line choice.

- 5 Preferably, any time a new diagnosis is made, the new
- 6 condition encountered is placed in the user's Dx-Personal
- 7 list 114 and any time a drug is chosen it is placed in a
- 8 personal drug list for the user. The first time either a
- 9 condition or a drug is selected, it is added to a user
- 10 profile stored on the network, for example, at the host
- 11 computer facility.

- 13 In addition, a physician-user can manually maintain one or
- 14 more custom lists, Dx-Custom 1 list 114 and Dx-Custom 2 list
- 15 114, for their own preferred short lists of conditions
- 16 being, for example, conditions appropriate to their
- 17 specialty that the individual physician frequently
- 18 encounters for treatment. Alternatively, libraries of
- 19 specialty lists may be made available from which the user
- 20 selects one or two lists for their personal use. Such
- 21 custom lists 114 may be associated with different user
- 22 activities, for example, Dx-Custom 1 could be used at a
- 23 hospital where the user is an attending physician, while Dx-
- 24 Custom 2 is used at a pain clinic where the user is a
- 25 visiting physician. The various condition lists 114
- 26 provide alternative pathways to drug selection that a

- 1 physician may use as an aid to deciding upon a course of
- 2 treatment. Different pathways may suit different clinical
- 3 circumstances or prescribers. Availability of alternative
- 4 routes to relevant drugs may enable a physician to find
- 5 improved treatments, and increase their range of choices,
- 6 and may lead to new solutions to difficult prescribing
- 7 situations.

- 9 The condition list selection screen shown in Figure 4 is a
- 10 gateway to other condition and drug selection screens. As
- 11 an alternative for quicker selection, a preferred condition
- 12 list (typically a Dx-Personal list 114) could be set as a
- 13 default with other condition lists 114 being reached via a
- 14 Change Condition List button (not shown).

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- 16 Any or all condition lists 114 can be automatically
- 17 supplemented or maintained by the system as it receives data
- in the course of processing numerous prescriptions for one
- 19 or more physician users. In addition to supplementation
- 20 with user-originating data, preferred embodiments maintain
- 21 user profiles on a host computer facility which continually
- 22 refreshes the data at the user's device so that the user can
- 23 use any device or share a device with other users.

- 25 <u>Condition selection</u>
- 26 In the Select Condition screen of Figure 5, the patient

- condition 116 in the **Dx Personal** category shown comprise generalized groups of disease, some serious like diabetes
- and pneumonia, and others less so, for example rhinitis or
- 4 sinusitis. More complex embodiments than the one shown here
- 5 may categorize conditions into as many as four or five
- 6 different columns of subcategories of condition according to
- 7 disease pathology, therapy, personal knowledge and so on.
- 8 Such condition categorization, as a preliminary to drug
- 9 listing, provides a very powerful tool for physicians to
- 10 view their prescribing options on screen and to organize
- 11 them. Organization of drugs by lists of effectively treated
- 12 patient conditions enables a user intelligently to access a
- 13 large body of drug data selections. This approach provides
- 14 multiple mapping so that the user can find a suitable drug
- 15 or selection of drugs via different pathways according to
- 16 their preferred work methods.
- 18 Different pathways to a drug via conditions organized in
- other ways, notably by body system, are illustrated in
- 20 Figure 8, described hereinbelow. Direct pathways of drug
- 21 selection using drug lists are illustrated with reference to
- 22 Figures 9 and 10, described hereinbelow.
- 24 In the example shown in Figure 5, the user-physician has
- 25 highlighted and selected a patient condition 116, namely,
- 26 peptic ulcer disease (PUD)/gastritis, displaying, in the

- 1 next right-hand column (see Figure 6), a short, system-
- 2 generated list of drugs known to be therapeutically
- 3 indicated for PUD/Gastritis and which may be suitable for
- 4 prescription or to have been prescribed in the past by that
- 5 user for treating these conditions. The presence of the
- 6 user's previously prescribed drugs, which may not
- 7 necessarily appear on third parties' lists, helps
- 8 personalize the list to the user.

- 10 Referring to Figure 6, now that a condition, PUD/Gastritis,
- 11 has been selected, a new screen title, Select Drug 111,
- 12 appears and selection of a drug to treat this condition
- 13 proceeds. To aid the selection, a condition-specific,
- 14 formulary drug list 118 is displayed in the next right-hand
- 15 column of the Select Condition screen of Figure 6 under
- 16 Formulary Drug header 120. Alternatively, a physician's
- 17 personal list of drugs may be displayed with formulary drugs
- 18 highlighted. If desired, relative cost information can be
- 19 included or alternative drugs may be ranked by preference of
- 20 the formulary manager.

- 22 Formulary Drugs are those listed by a drug formulary
- 23 specified by, or relevant to, the patient, in this case,
- 24 Mary Harrington. The drug formulary may be generated by a
- 25 prescription benefits management company and is a key
- 26 ingredient in a system for reducing overall prescription

- 1 costs by using volume purchasing to get preferred pricing on
- 2 selected drugs.

- 4 A major problem in fulfilling the cost-control objectives of
- 5 a managed care organization is that of informing a
- 6 prescribing physician as to which drugs are in the formulary
- 7 for a given patient. Noting that there are many different
- 8 formularies it is quite impractical for the average
- 9 physician to keep referencing different formularies for
- 10 every patient every time they write a prescription. The
- 11 aspect of the invention shown in Figures 6 through 11 helps
- 12 solve this problem by providing computer access of remote
- 13 databases containing the information and by presenting
- 14 available formulary drugs in a form which is easy for a
- 15 physician to use, reference and prescribe without enforcing
- 16 physician compliance with a formulary's treatment guidelines
- 17 and attempting to restrict a physician's exercise of their
- 18 professional judgment.

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- 20 To the contrary, the system of this invention is designed to
- 21 empower a physician to make informed choices at the point of
- 22 care. The system fosters quality, cost-effective
- 23 prescribing. Physicians do not have to attempt to remember
- 24 drug formularies and formularies may be changed with instant
- 25 effect on all users without having physicians relearn the
- 26 formulary. Where formulary information is called across a

- data-retrieval network, each time it is required, in 1
- accordance with preferred embodiments of the invention, from 2
- a remote source database, updates are automatically posted 3
- across the network.

- Nonformulary drugs may be substantially more expensive than 6
- formulary drugs, or may not be covered by the patient's drug 7
- benefits plan, and may require out-of-pocket payments by the 8
- patient which circumstance may cause administrative problems 9
- to the physician and be a burden to the patient. 10
- still, the patient may not have the prescription filled. 11

- By including pharmacy-derived prescription fulfillment 13
- information, a patient prescription history can indicate
- whether a patient actually received a medication.
- physician can be alerted (by e-mail) if a patient has not
- filled a prescription for a critical medication, for example
- LASIX (Hoechst), prescribed for hypertension, enabling a 18
- follow-up with the patient to be initiated. 19

20

Where formulary drugs are professionally acceptable to the physician and of equivalent therapeutic effect to non-22

- formulary drugs, failure to use them is clearly undesirable. 23
- This problem is overcome by the present invention. 24
- physician is satisfied with the formulary drugs offered by 25
- the prescription management system of this embodiment, 26

- anyone may be selected and automatically posted to the novel
- 2 prescription described herein as will be described.

#### Prescribing non-formulary drugs

- 5 Should the physician know, for example, that cimetidine and
- 6 ranitidine, drugs in a similar class, have been tried and
- 7 found ineffective and that the condition is well beyond
- 8 these first line treatments, so that none of the formulary
- 9 drugs is suitable, then the physician can select Other,
- which selection displays a nonformulary drug list 122, under
- 11 nonformulating drug header 124, as shown in Figure 7. In
- 12 this case, the physician selects Sucralfate as being a non-
- 13 formulary drug in a different chemical category and having
- 14 somewhat different therapeutic properties from those
- 15 previously applied to treatment of this patient's symptoms.

- 17 Having made the decision to select Sucralfate, the physician
- 18 is informed by the system display shown in Figure 7 that
- 19 sucralfate is a nonformulary drug not on patient Mary
- 20 Harrington's prescription benefit management company's
- 21 schedule. With this timely notification in hand, the doctor
- 22 can, if appropriate, consult with a patient, explain the
- 23 reasons for his or her drug selection and gain the patient's
- 24 agreement to assuming the cost of the prescription, or
- 25 obtain authorization from the plan to cover the cost of this
- 26 prescription for this exceptional case. Physicians

- 1 manifesting increasing compliance flowing from use of a
- 2 prescription management system according to this invention
- 3 can expect ready approval of a non-formulary drug on a
- 4 justified exceptional basis.

- 6 By tying a diagnosed condition to a prescribed drug and
- 7 requiring a condition to be recorded as a treatment
- 8 objective before a prescription is fulfilled, new drug
- 9 formularies can be created where prescribing of a drug is
- 10 qualified according to the condition treated. For example,
- 11 an expensive drug like captopril may be a first-line
- 12 formulary choice for an acute condition such as congestive
- 13 heart failure, but not a first-line choice, or may even be
- 14 excluded as non-formulary, if prescribed for a chronic
- 15 condition such as hypertension.

- 17 In practice, after the system learns the user's preferences,
- 18 most condition and drug selections will be quickly made from
  - 19 the user's preferred or custom lists or from historically
  - 20 derived patient lists of previously encountered conditions,
  - 21 or previously prescribed drugs. The system adapts to the
  - 22 prescribing user to enable rapid creation of routine
  - 23 prescriptions. A minority of situations may call for less
  - 24 obvious therapies or therapies with which the physician has
  - 25 little or no experience. Physicians tend to be most
  - 26 reluctant to prescribe new drugs. Responsible physicians

- will usually scrutinize a great deal of relevant information
- 2 before prescribing a drug for the first time. This effort
- 3 is captured by the system which enables a prescriber to have
- 4 quick access to their prior experience and confine their
- 5 drug selections to drugs they have used previously and which
- 6 were satisfactory. (A physician can of course edit their
- 7 personal list to remove drugs that proved unsatisfactory for
- 8 some reason or another, whether therapeutic or not, or they
- 9 can be removed automatically based on decreasing frequency
- 10 of use.)

- 12 In other circumstances a physician will need to select a
- 13 drug with which they have little or no experience. Here,
- 14 when it is most needed, the system provides major support
- 15 and reassurance, presenting several different pathways to
- 16 appropriate solutions enabling online access to the latest
- 17 available scientific, clinical and commercial information
- 18 about a new drug as well as screening for complications.
- 19 The ability to offer drug detailing at the point of need for
- 20 new drug information can be used to attract revenue from
- 21 pharmaceutical companies, managed care companies or others,
- 22 and is especially useful in decreasing the barriers to
- 23 switching to first-time use of a drug. The system-provided
- 24 prescribing information resources that are brought to the
- 25 point of care are also valuable in enabling a physician to
- 26 make quick therapeutic substitutions.

- 1 The drug selection screen shown in Figure 8 offers, by way
- 2 of example, one route to selecting a new drug not on the
- 3 prescriber's short lists. Here, selection is condition
- 4 driven and proceeds with the selection of a condition list
- 5 114, Dx by Body System or Dx by Therapeutic Class, and then
- 6 locating a drug to treat that condition; or alternatively,
- 7 by directly selecting a drug via drug lists 115 Rx by
- 8 Therapeutic Class or Rx by Alpha. Displayed in Figure 8,
- 9 reading across the columns from left to right, are a list of
- 10 body systems 117 from which the prescriber has selected
- 11 Musculo-skeletal. In the next right column the system
- 12 displays a list of conditions 116 that might be displayed by
- the musculo-skeletal system, of which nine are listed by way
- 14 of illustration. From these nine the prescriber has
- 15 selected Osteoarthritis. Osteoarthritis is posted to
- 16 Condition field 86 in prescribing zone 44 of prescription
- 17 creation screen 39 (Figure 3).
- 18
- 19 With a condition specified, selection proceeds to the
- 20 choosing of a drug to treat the condition of osteoarthritis.
- 21 Drug selection proceeds through a preliminary selection of
- 22 drug category, from a list of drug categories 119 in the
- 23 next column to the right, enabling the prescriber to choose
- 24 their therapeutic approach, in this case, as between
- employing an analgesic, a narcotic, a NSAID (non-steroidal
- 26 anti-inflammatory drug) or a salicylate. A NSAID is chosen,

generating an extensive list of drugs 121 in the right most 1 column in Figure 8, from which the prescriber can make their 2 final selection which will be posted to Drug field 88 in the 3 prescription creation screen 39 (Fig. 3). 4 5 The complexity of the prescribing process is graphically 6 illustrated in Figure 8. Even after narrowing the field 7 down to a specific class of drugs, NSAIDS, for treating a 8 particular symptom, osteoarthritis, there are still of the 9 order of fifty drugs from which the prescriber makes a final 10 11 12 13 14 15 16 17 11 selection. 12 13 14 Direct drug selection Prescribers often know what drug they want to prescribe and will wish to access it very quickly, and may not use the system if they are unable to do so. This goal can be reached with user-adaptive personal drug lists organized to 18 default to a prescriber's preferred choices, as described 19 20 herein. 21 One preferred user-adaptive approach to providing a quickprescribing pathway to a prescription is for the system to process the user's personal drug list, to highlight, or short-list or otherwise present those drugs on the personal

list that are appropriate therapy for any of the patient's

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23

24

25

active conditions, and preferably also, that are on the 1

2 patient's formulary.

Referring to Figure % an alternative direct drugspecification pathway commences, reading from left to right,

with selection of drug list 115 Rx by Therapeutic Class. 6

From a list of perhaps filty to one hundred drug categories 7

119 which appears in the next right hand column, the 8

prescriber has picked Diuretics, generating an even longer 9

10 list of diuretic drugs 121 from which the prescriber has

picked Dyazide (trademark, Smith Kline Beecham). The system

now calls for entry of a condition, in this case

10 11 12 13 14 15 16 17 "hypertension". The extent of the lists of drug categories

119 and diuretics 121, again illustrates the bewildering

array of drug selections with which a prescriber is

confronted. An otherwise uncertain or overly conservative

decision-making process can be rendered efficient, reliable 17 18

and manageable by a prescription management system according

to the invention.

20

19

The selection program illustrated in Figure 10 provides a 21 variety of pathways for direct drug selection via five drug 22

lists 115, a personal, an alphabetic, a category list and 23

two custom lists, analogous to condition lists 114. Here 24

the user has selected Rx-Alphabetic list 115 and the system 25

has displayed a portion of a long, scrollable list of drugs 26

- 1 121 in the next column. This approach can quickly locate a
- 2 target drug when the physician knows it by name. Here
- 3 Cefixime has been selected and the system calls for, and
- 4 requires, the prescriber to enter a condition before
- 5 proceeding to quantification of the prescription. In the
- 6 next column the system lists conditions that the user has
- 7 previously treated with Cefixime, highlighting the most
- 8 recent condition so treated, or the system may display a
- 9 previous condition of this patient that was treated with
- 10 cefixime, not necessarily by the current user. If the
- 11 physician wishes to attack some other condition with
- 12 cefixime, such other condition may be selected from the last
- 13 righthand column, activated by "other".
- 14 The diversity of conditions treatable with cefixime
- 15 illustrates the potential for outcome studies based upon
- 16 widespread use of systems according to the invention to
- = 17 refine definitions of the therapeutic scope of individual
  - 18 therapeutic agents by collecting data on effective new
  - 19 applications and on precautions, interactions and side
  - 20 effects.

- 22 Some advantages of condition-specified drug prescribing
- 23 Being abundantly served at the point of care with relevant
- 24 prescribing information at the critical moment of decision,
- 25 the physician can eliminate many subsequent problems or
- 26 difficulties which may lead to unnecessary paperwork, or

surprised, annoyed or non-compliant patients, and to

when a patient learns only at the pharmacy that their

much unnecessary "phone tag" between pharmacies and

preferred guidelines will reduce the cost of care and

and denoted as formulary or not, for the patient in

use in their own personal work flow, greatly enhances

prescribing practices, fosters cost containment and eases

leading to a decrease in adverse outcomes of therapeutic

the administrative burdens that fall on heavily prescribing

It enables informed choice at the point of care

The availability, by means of the invention, of vital drug

selection information, categorized by therapeutic condition

question, rapidly assembled, preferably from remote source

data, and conveniently presented to a physician for flexible

unnecessary phone calls between pharmacist and physician

prescription is non-formulary. The system can eliminate

Improved physician and patient compliance with

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physicians.

physicians.

choices.

increase the quality of care.

- 21
- Naturally the prescription management system of the 22
- invention can provide a variety of printed reports and other 23
- data outputs of any facet of the described operations. 24
- some cases, these reports can be enhanced to provide 25
- entirely new products for example a dosing schedule such as 26

- 1 that described with reference to Figure 15, and shipping
- 2 schedules or split prescriptions divided according to
- 3 suppliers requirements.

- 5 Current and historical reports can, subject to the access
- 6 controls described herein, be patient-specific, prescriber-
- 7 specific or organization-specific and can be aggregated
- 8 across various groups, pools, geographical regions,
- 9 conditions, drugs, or time periods or combinations of any of
- 10 the foregoing to provide a valuable data resource to health
- 11 care providers, patients, managed care organizations,
- 12 government agencies and others.

13

- 14 Further to enhance the prescribing decision process,
- 15 additional features can be included on screens such as
- 16 Figure 7, for example drug pricing information, employing
- 17 actual wholesale or retail pricing, or comparative pricing
- 18 or on another manner of drug pricing or grouping, such as a
- 19 comparative scale or price rating system, or relative
- 20 pricing based on actual prescription benefit management
- 21 company contracts. Such pricing information can greatly
- 22 influence M.D. decision-making, improving formulary
- 23 compliance and reducing overall drug costs, without
- 24 restricting a physician's choices.

25

36) 5/35/

A powerful optional feature of the invention is shown in

exemplary fashion by the drug evaluation screen depicted in 1 Figure 11. After a physician selects a drug from one of the 2 screens of Figures 7 to 10, the system can optionally scan a 3 drug preference database of preferred drug treatments, for an evaluation of the merits of the selected drug in treating 5 wal and for this selected patient The drug preference database may be remote and may be maintained, for example, by a managed care organization, HMO, or prescription benefits management 9 As the Figure 11 example shows (which example employs different condittion and drug selections from those 10 used in Figures 6 and 7) one possible result of the database 11 scan may be an on-screen report with an alert message, in 12 header 126 advising the physician that the selected drug is 13 "Not a first line drug" for treating the selected condition. 14 As a helpful suggestion to the physician the system can also 15 offer alternative drugs, from listings in the drug 16 preference database, as being more meritorious for the 17 treatment of the condition in question (pursuant to the 18 maintaining benefit company's standards or, preferably, to 19 objective literature reports). 20 21 To this end, the drug selection evaluation screen of Figure 22 11 comprises an explanatory box 128 elucidating header 126; an alternative drug selection menu 130; and at the bottom of the screen, three action buttons; for example, Tx Guidelines

132 to access treatment information about the alternative

10 |--

23

24

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drug highlighted in menu 130; a confirm button 134 to post
the physician's original drug selection, in this case
"Cefixime" and to return to prescription creation screen 39;
and a cancel button 136 which returns the user to the drugselection of Figure 7.

6

7 The treatment information available via Tx Guidelines button

8 132 may include a literature reference supporting the

9 system's finding that Cefixime is not a preferred first line

10 agent for treatment of the selected condition, otitis media.

11 Optionally there may be a selection on a drop-down menu from

12 the Tx Guidelines button 132 enabling a physician, without

13 further effort to have a copy of such a study sent to them.

14 In a further optional embodiment, Tx Guidelines button 132

15 can provide the user with an access point to full disclosure

16 and prescribing information on the drug. Available

17 treatment guidelines information can include details of the

18 particular conditions for which a system suggested

19 alternative drug has been found effective, adverse

20 conditions, preferred dosages and administration routes,

21 literature sources and so on. This aspect of the inventive

22 system provides a simple, nonintrusive technique for

23 bringing new drug information to physicians at a critical

24 moment of need, when creating a prescription.

25

26 Although described as a self-contained system, it will be

- 1 appreciated that functions such as the identification and
- 2 listing of drugs via conditions treated, and patient
- 3 prescription histories will have value in other systems, for
- 4 example, patient encounter management systems, and may be
- 5 accessed directly from such systems via a prescribing
- 6 information button.

- 8 As well as compensating for error or lack of information on
- 9 the physician-user's part, the prescription review system
- 10 exemplified in Figure 11 has great value as an educational
- 11 tool. Physicians can be subtly trained to improve their
- 12 drug selection behavior. By using the system aggressively
- 13 and exploring its information resources, as they are
- 14 encouraged to do by the system's prompts and alerts,
- 15 physician prescribers effectively receive education and
- 16 training at the point of care. Improvements in drug therapy
- 17 are subtle and complex and it is often difficult, even for
- 18 the most conscientious of physicians, to be abreast of
- 19 developments in any more than one narrow field of medicine.
- 20 It is just as difficult for purveyors of new drugs to break
- 21 in to a physician's packed work schedule to educate them as
- 22 to the merits of a valuable new drug.

- 24 More than one alternative drug may be offered. Also in an
- optional embodiment not shown, the physician user may choose
- 26 to display a screen of drug information regarding the

- 1 alternative drug or any other drug. After confirming a drug
- 2 selection the system can review the patient's history in
- 3 relation to the selected drug and alert the physician to any
- 4 relevant allergies, one-on-one drug interactions or, if
- 5 appropriate, multiple drug interactions.

- 7 Often, when new drug information is presented, a physician
- 8 is unable to consider it, yet when the information is
- 9 needed, or could be used, for example at the point-of-care,
- 10 when creating a prescription, valuable new drug information
- 11 may be unavailable or forgotten. This invention solves that
- 12 problem by presenting new drug information in a timely
- 13 manner at the moment when it is most needed and a physician
- 14 is most interested in considering it, namely at the time of
- 15 writing a prescription. It gives a benefit management
- 16 company the opportunity to influence a physician's choice at
- 17 the most influential moment, during the prescribing
- 18 decision.

- 20 <u>User-adaptive drug formulary compliance</u>
- 21 Conventional formulary guidelines specify one or more
- 22 substantial lists of preferred drug therapies. Many of
- 23 these drugs will be unfamiliar to most prescribers who will
- 24 therefore be reluctant to prescribe them. Natural
- 25 professional prudence makes most physicians extremely
- 26 cautious about specifying powerful agents for therapeutic

- goals when they have little or no prior experience with the 1
- agents but will be responsible for the outcome of the 2
- 3 treatment.

The system of the invention can provide a novel approach to drug formulary management whereby prescriber-centric

- formularies can be established. By means of the system, 7.
- drug formulary guidelihes effectively adapt to the user's preferences 8
- prescribing patterns or can be followed effortlessly by the 9
- 10 prescriber. This desirable prescriber-centricity can be
- obtained by giving priority to the prescriber's personal or 11
- custom lists or, better still if they are a subset of these, 12
- to the patient's history lists, and system-identifying 13
- patient-formulary preferences on those lists for easy final 14
- picking by the prescriber. Where the prescriber is 15
- selecting a drug providing effective therapy for a just-16
- specified condition, the above procedure may often clearly 17
- identify a single drug meeting all requirements or may 18
- result in a short list of a very small number of drugs for 19
- 20 final selection. Where no drug is listed as meeting all
- requirements, the system may so alert the user and suggest 21
- formulary drugs not on the doctor-specific lists or ask the 22
- user whether they wish to review appropriate non-formulary 23 24
- drugs from their personal or custom lists.

25

# 1 Patient's prescription history

- 2 Figure 12 shows a prior prescription information screen
- which can be displayed by double clicking the prescription
- 4 display line or activating RX History button 54 in a screen
- 5 zone such as prescription history zone 43 of prescription
- 6 creation screen 39 shown in Figure 3. The embodiment of
- 7 screen shown in Figure 12 provides a simple passive
- 8 information display, comprising an information box 138, a
- 9 close button 140 and a scroll bar 142 for scrolling or
- 10 browsing a library of prescription histories. The displayed
- 11 prior prescription information in box 138 comprises, for the
- 12 selected prescription, the condition for which the drug was
- 13 prescribed, the drug name, date of prescription, dates of
- 14 any renewals and the name, phone number and any other
- 15 appropriate identification of the prescribing physician, in
- 16 this case it is the user physician, and any other useful
- 17 details that may not be strictly prescribing information,
- 18 including appended free text, voice annotations or other
- 19 electronic ink. Where an "N" indication appears in the Mine
- 20 column 76 on the prescription history line in Figure 3, the
- 21 name of another physician who authored the relevant
- 22 prescription will appear in Figure 12.

.

- 24 In addition to conveniently presenting useful historical
- 25 prescription-related details, powerful optional features,
- 26 for example, direct E-Mail communication with the physician

whose name is displayed (or with some other physician) can 1

be activated from the prescription information screen of 2

Figure 12 or other suitable screen, can be included in the 3

prescription management system of the invention. 4

options enable physicians to send an inquiry to, and perhaps 5

retrieve relevant records directly from another physician 6

such as a previous prescriber to the patient, or a referring 7

8 The invention facilitates the execution of such physician.

information transports during the user-physician's encounter 9

with their patient. The screen of Figure 12 could 10

additionally have an Auto Dial button and be linked to other 11

modes of communication to facilitate a direct connection to 12

the physician of interest. Additional options include a 13

display of historical dosage information and an ability to 1,4

page through all prior prescriptions or all prescriptions 15

for a given patient, a given prescriber, a given condition, 16 17

a given therapeutic class, and so on, recapping some of the

functionality of the Figure 3 prescription creation screen 18

19 39.

20

A further optional feature of the invention is shown in the 21

patient problem or condition screen of Figure 13, openable, 22

for example, from Problem button 50, Figure 3, which tracks, 23

as indicated by the field headers 144-156 extending across 24

the screen, a history of the patient's problems and records 25

diagnostic determinations regarding individual problems. 26

- 1 in particular, the system captures information regarding the
- 2 date when a new problem first becomes active and when it is
- 3 "deactivated". These dates are associated with the name of a
- 4 physician user, and thence with a patient encounter and can
- 5 be regarded as authentic diagnostic determinations capable
- 6 of being substantiated from the physician's office records.
- 7 Additional information screens, detailing, for example
- 8 laboratory or other diagnostic data, or relevant personal
- 9 patient characteristics, for example height and weight, can
- 10 be linked to problems as they are with drugs.

- 12 By processing such reliable base data, combined with
- 13 historical prescription data associating a patient problem,
- 14 or treatment category, or treatment objective, with a
- 15 prescribed drug routine, valuable new information and
- outcome studies can be generated. For example, the duration
- of problems in relation to particular treatments can easily
- 18 be calculated.

- 20 Using the Figure 13 screen the system user, or the system,
- 21 labels a problem or condition as new in New field 144;
- 22 describes the nature of the problem in Problem field 146
- 23 from a condition list (not shown) such as condition list 114
- 24 shown in Figure 4; selects a "Y" or "N" flag in Act field
- 25 148 to show the status of the condition as active or not;
- 26 inserts the name of the physician adding the problem to the

- 1 list in Diagnosing Physician field 150 (which the system
- 2 will default to the current user); inserts the date the
- 3 problem was added in Date field 152; inserts the name of the
- 4 physician determining the problem is resolved or no longer
- 5 active in Resolving Physician field 154; and inserts the
- 6 date of resolution in Date field 156. Thus changes to the
- 7 patient record are stamped with the name and date of the
- 8 responsible physician to provide an audit trail. A
- 9 physician identifier can be added if desired.

- 11 Problems that no longer manifest themselves to the patient
- or physician can be indicated as not active in Act field
- 13 148. The problem list can be sorted by header selection and
- 14 preferably presents active problems at the top of the list
- 15 by default.

- 17 Such a system-maintained problem list provides an easy and
- 18 convenient reference to the patient's history of conditions
- 19 or problems and of the duration and currency of such
- 20 problems and constitutes a valuable case management tool for
- 21 physicians. The problem list is automatically supplemented
- 22 during the prescribing process with the latest prescriber's
- 23 latest observations and diagnoses, as indicated by selection
- 24 of one or more conditions for posting to a new prescription.
- 25 A
- 26 Where a patient complains of an old problem a quick

- 1 prescription creation routine comprises selecting the
- 2 problem from the Dx-Patient list 114, then selecting a drug
- 3 from a system-generated pick list of drugs providing
- 4 appropriate therapy for that condition. The pick list is
- 5 preferably drawn from the doctor's personal list and is
- 6 either compliant with the patient's formulary guidelines, or
- 7 indicates those guidelines, for example by inverse video,
- 8 highlighting or the like, and also includes a selection of
- 9 "other" to access drugs not on the prescriber's personal
- 10 list. Such a quick prescription routine enables the most
- 11 routine situations to be promptly handled, yet permits the
- 12 physician to expand their prescribing horizons and does not
- 13 merely require selection of the same drug as was used
- 14 previously. Quick treatment substitutions are made possible
- 15 by the system's presentation of available alternative
- 16 therapies enabling the prescriber easily to see what
- 17 alternatives are available and to explore those with which
- 18 they are unfamiliar.
- 20 Also the problems or conditions on this list can be
- 21 automatically posted to a patient problem list 114 to appear
- 22 as an additional "Dx" list in screens such as those shown in
- 23 Figures 4-10, to provide quick selection or review of a
- 24 patient's historical conditions. Preferably, such a Dx-
- 25 Patient list 114 changes automatically when another patient
- 26 is selected.

- 1 As various system-using physicians, laboratories and the
- 2 like encounter the patient or provide services to the
- 3 patient, they become original sources for new record
- 4 elements memorializing their encounter with the patient or
- 5 the patient's attributes. The patient's history
- 6 accumulates, and the system compiles, on demand, a
- 7 cumulative virtual patient record including all newly
- 8 created record elements. This current patient history
- 9 record is promptly available to any authorized physician
- 10 user on the network. In an ideal world, all relevant
- 11 encounters are captured so that the patient's record is
- 12 comprehensive or complete.
- 14 The value to a patient's care givers, of an instantly
- 15 available, comprehensive patient record cumulatively
- 16 reflecting all current and recent medications and
- 17 conditions, is immense. Its availability to emergency
- 18 personnel may be life saving.
- 20 The problem list screen of Figure 13 is accessed from
- 21 prescription creation screen 39 (Figure 3) by pressing
- 22 button 50. Selecting an OK button 158 or Cancel button
- 23 160, the problem list returns to prescription creation
- 24 screen 39 (Figure 3). Change Status button toggles the
- 25 highlighted Act entry between "Y" and "N", and records a
- 26 date and physician name with any status change. Add button

- 1 164 enables a physician user to add a new condition to the
- 2 list, using condition selection pick lists, as previously
- described. This routine may be used to note problems for
- 4 which there is no specific prescription given, e.g. obesity
- 5 or senile dementia.

б

- 7 Where the inventive prescription management system is
- 8 applied to statistical data collection for outcome studies,
- 9 it is preferable to supplement the patient record with a
- 10 range of relevant personnel data, to the extent that this is
- 11 available, for example drug abuse behavior, smoking and
- 12 habitual eating or drinking behavior, dietary habits,
- 13 marital and family status, pregnancies, ethnicity,
- 14 environmental factors, and so on. The system provides an
- 15 excellent means for tracking these factors and their changes
- 16 as they may pertain to an individual's health. For example,
- 17 data fields could be added to record any of the foregoing
- 18 data and the data could be updated by medical or
- 19 administrative personnel in preparation for a patient-
- 20 physician encounter.

21

- 22 Of particular significance to outcome studies will be death
- 23 certificate information, and preferably this information is
- 24 added to the patient problem record of Figure 13, as
- 25 appropriate.

- 1 More complex embodiments of the invention can integrate
- 2 applications for prescription management with equivalent
- 3 applications for diagnostic tests, laboratory analyses, and
- 4 radiological studies to provide a more comprehensive patient
- 5 history viewable in multiple screens. Of particular value
- 6 in such an integrated presentation are laboratory results
- 7 providing drug dosing levels, renal and liver function tests
- 8 that provide important indications as to appropriate dosing,
- 9 and so on.

- 11 Figure 14 shows a manually maintainable problem record
- 12 maintenance screen, for physician use, which can be accessed
- 13 for example from the Doctor's lists button 24 in the system
- 14 entry screen of Figure 1. This screen enables a doctor or
- 15 physician manually to maintain their own personal customized
- 16 prescription, diagnosis, allergy or other useful lists, to
- 17 supplement the automatically maintained system lists. If
- 18 desired, problems the doctor's patients have experienced
- 19 previously can be system-added to the list, for example when
- 20 a patient is selected. These personalized lists or profiles
- 21 are posted to the network where the system can retrieve them
- 22 to any user interface device via a host computer facility,
- 23 subject to appropriate password protection or the like.
- 24 Relying upon such centrally stored personalized profile
- 25 files, the system can present a customized, personal
- 26 appearance, with familiar configurations, attuned to the

- 1 user's work habits, at any geographical location from which
- 2 the network can be accessed.

- 4 The problem record maintenance screen of Figure 14 comprises
- 5 a Problem List box 166, a List Type box 168 and a Problems
- 6 box 170 displaying a comprehensive, or preferably exhaustive
- 7 list of problems which can be selected and transferred to
- 8 the network and the physician's problem list by pressing
- 9 update button 172. Highlighted entries can be removed from
- 10 the Problem List 166 by pressing delete button 174. Save
- 11 button 176 and Exit button 178 perform the usual functions,
- 12 and preferably provide options to cancel changes, and the
- 13 like. Data entry box 180 permits an unlisted condition to
- 14 be keyed in, or otherwise entered character-by-character and
- 15 paging buttons 142 move between lists.

16

### 17 Archiving

- 18 Given the medical, commercial and legal significance of the
- 19 transactions executed and the data generated by use of the
- 20 system of the invention described herein, as well as the
- 21 value of that information to the patient, the physician and
- 22 many other organizations, maintenance of accurate historical
- 23 records, or archiving, is desirable, or essential, and
- 24 preferred embodiments of the invention provide archiving at
- 25 a host computer facility 106.

- 1 Data storage burdens attendant upon long-term archiving are
- 2 substantially relieved by using virtual patient records, as
- 3 described herein. Pursuant to the principles relating to
- 4 the use of virtual patient records dynamically created from
- 5 source data record elements, the invention prefers to
- 6 archive such data as will enable a full and accurate record
- 7 of the past to be regenerated from diverse sources, rather
- 8 than recording the past verbatim. Date and time stamped
- 9 record elements allow recreation of a virtual patient record
- 10 at any point in time.
  - 12 Preferably, the data logged into archives comprise all data
  - 13 relevant to a patient's diagnosis and therapies, data
  - 14 relevant to the user's prescribing activities, including the
  - prescriber's relevant electronic communications ("e-mail")
  - 16 with third parties (pharmacies, laboratories, other health
  - 17 care providers, or potential providers, to the patient, and
  - 18 so on) and access audit data as to parties accessing the
  - 19 patient's or prescriber's personal data.

# 21 System-support infrastructure

- 22 Referring to Figure 16, the lefthand side of the diagram
- 23 shows an arrangement of services and devices that provide a
- 24 downstream flow of data and communications resources to
- 25 users of the prescription management, or other system
- 26 described herein. The righthand side shows sources from

- which desired data and data elements may be drawn and 1
- pathways for those data to reach the user, the flow being 2
- marshalled by a centrally depicted host computer. 3

- Shown schematically in Figure 16, are a number of user 5
- interface devices 200 and a desktop computer 201 6
- communicating via any of a variety of communication services 7
- 202, through a gateway-router 204 with a host computer 8
- facility 206. The drawing depicts schematically how a group 9
- or pool of users working with interface devices 200 or
- computers 201, running the prescription management software
- of this invention, can be serviced by host computer facility
- 206. Those skilled in the art will appreciate that the
- schematic layout shown in Figure 16 is described in terms of
- its logical architecture and that the actual physical
- disposition of elements may be quite different.

- In addition to coordinating system-related communications, 18
- especially retrieval of source data from remote databases, 19
- gateway-router 204 can manage supplementary services such 20
- for example as a paging service 208 or any other relevant 21
- 22 desired function.

- Interface devices 200 are depicted as small form factor, 24
- handheld devices, or PDA's, communicating wirelessly over a 25
- 26 WAN, a proprietary wireless service, or a cellular digital

- packet data service, or the like. Desktop computer 201, 1
- which may be a portable, notebook or other higher form 2
- factor computer, connected to communications gateway-router 3
- 204 via a local area network labeled LAN1. which connection 4
- could equally well be via modem, infra-red, wireless or the 5
- like, depending upon the circumstances. Any suitable 6
- network may be used, depending upon the user's equipment and **7** .
- the location of desired resources. Wired or wireless, local 8
- or wide area networks, or mixed networks, are suitable. 9

- Routing to the appropriate service and other communications 11
- technicalities are coordinated by communications gateway-
- router 204 which is networked or otherwise connected with
- host computer facility 206.

- Other prescribers (or other professionals in different
- environments) may use different methods to communicate with
- host computer facility 206 using a two-way digital data 18
- communication system across a network. 19

- Still other users may be supported by other host computer 21
- facilities communicating in their turn with host computer 22
- facility 206 using appropriate network services and 23
- providing communication links or pathways between such other 24
- users and physician users supported by host computer 25
- 26 facility 206. Such organizations employing one or more

- each of both users and host computer facilities are intended 1
- by references herein to "network" or the "network". 2

- Communication services 202 can be any service providing 4
- effective two-way data transfer between users 200 and host 5
- computer facility 206. As labeled, some possible 6
- communication services 202 are wired local area networks 7
- "LAN1...LAN", wireless local area networks "WLAN1...WLAN" 8
- and proprietary radio frequency packet data networks, such 9
- as ARDIS and RAM (trademarks of their respective
- proprietors), cellular digital packet data networks
- "CDPD<sub>1</sub>...CDPD<sub>n</sub>" and so on.

- Not shown is a wire telephone connection between a user
- device 200 and communications gateway-router 204.
- of course a possible embodiment of the invention and it is
- also, to be understood, local area networks LANn, could
- comprise a single desktop computer or a facility-based 18
- networked system of multiple desktop, or other computers. 19

20

- Communications gateway-router 204 manages communications 21
- through these various media services and provides consistent 22
- interfaces to users at devices 200 and to host computer 23
- facility 206, regardless of which communication service 202 24
- 25 is used.

- 1 As referenced hereinabove, host computer facility 206 can
- 2 comprise a client-server system in which a file server or
- 3 database management server, or cluster of such servers,
- 4 manage data storage and traffic functions, providing high
- 5 volume data availability to multiple intelligent clients
- 6 linked, typically over a local area network, to the server
- 7 or servers.

- 9 Exchanging data, programs and processing services across
- 10 this system, user interface devices 200 and host computer
- 11 facility 206 support applications such as the prescription
- management system of the invention, E-Mail services and any
- other desired applications, for example patient encounter
- 14 management programs, diagnostic procedure management
- 15 programs, and the like, in an analogous manner to
- 16 conventional client-server supported operation of such
- 17 applications.

- 19 Host computer facility 206 provides intelligent network
- 20 services to user devices 200 and 201 and may support
- 21 ancillary services, especially for example, as described
- 22 hereinbefore, patient-directed data access control software.
- 23 Prescriber-directed data access control software or
- 24 organization-directed data access control software could
- 25 also run in an application separated from the prescription
- 26 management system, but is preferably integrated therewith as

a component of a user initialization routine. 1

2

- Conveniently, patient interface components of the patient-3
- directed data access control software are run at separate 4
- stations from the point-of-care locations used by 5
- prescribers and are located, for example, in administrative 6
- or reception areas of health care facilities or managed care 7
- organizations. Here, data access rights may be read off a 8
- patient's data access control card, and such cards may be 9
- issued, under control of software supplied by, and in
- communication with host computer facility 206.

- The level of software and data resident on interfaces
- devices 200 can be varied according to their physical
- capabilities and user or system administrator preferences.
- At a minimum, and for device redundancy, interface devices
- 200 need have resident neither files nor software, beyond
- what is supplied with the device off the shelf. 18

- So long as the user interface device has an operating system 20
- and is communications-equipped, they may establish 21
- communication with host computer facility 206, using a 22
- separately supplied electronic address for that facility and 23
- may upload necessary program components and data files, 24
- including such personalized user profiles as have been 25
- established by the user's prior experience with the system 26

- and which have been stored at the host computer facility
- 2 206, are called from a remote host computer facility
- 3 supporting other users.

- 5 Neither such program components, nor data, need be stored on
- 6 the interface device 200 but, where the device 200 has
- 7 adequate storage capacity, it will be more convenient and
- 8 faster-loading for a user to maintain configuration and user
- 9 profile files, along with limited amounts of relevant drug,
- 10 and possibly patient data, on the user's local interface
- 11 device 200. Preferably, however basic system access
- 12 software is required to be installed on the user device
- 13 before system resources can be accessed. Such basic system
- 14 access software can be activatable after reported loss or
- 15 theft to disable system access capabilities and to render
- 16 any stored proprietary data inaccessible to unauthorized
- 17 users.

- 19 Host computer facility
- 20 Host computer facility 206 provides full software support
- 21 for user interface devices 200 and maintains complete
- 22 program files for the prescription management system along
- 23 with e-mail services and any other non-personal applications
- 24 that may be needed by users of devices 200 beyond the basic
- operating systems and utilities, and the like, with which
- 26 the devices are originally equipped.

- Host computer facility 206 maintains databases of patient
  information for patients encountered or whose records have
- 3 previously been viewed by users of devices 200 in response
- 4 to calls sent via host computer facility 206, (and logged by
- 5 it for audit purposes) but, in keeping with the preferred
- 6 practice of the present invention, host computer facility
- 7 206 does not maintain patient records in permanent storage.
- 8 It could however be used to maintain patient record
- 9 components that are source components to users of devices
- 10 200 for which this particular host facility 206 is, at it
- 11 were, their "home" facility.

13

14

16

- Important functions maintained by the host computer facility 206 are information locator databases and advanced directory
- 15 and routing services, including the following:
  - i) a user device and system registry enabling communications to be routed to the target user;
- ii) a patient information directory service enabling
  access the system to access remote databases to
  retrieve patient record components for compilation
  of virtual patient records as described above;
- iii) archiving of transaction logs and records, and of audit logs;
- iv) patient drug formularies and formulary guidelines or locators to access same;
- v) libraries of alerts and other system displayed

1 messages; and vi) access control software and related data files for 2 patients, care providers and organizations. 3 4 Drug and condition lists and some drug information are also 5 maintained on the host computer facility 206, but these are 6 preferably either synchronized or refreshed at intervals 7 (e.g. overnight) from source databases of such drug 8 More detailed drug information (e.g. U.S. 9 information. Pharmacopeia information) can be retrieved from remote 10 databases by host computer facility 206. Host computer 11 facility 206 also maintains directory services for accessing 12 such drug related information, formularies, guidelines alert 13 messages and the like and updates this data remotely from 14 source databases maintained by the proprietors of the 15 16 information. 17 Also in addition, host computer facility 206 can off-load 18 data-processing functions from interface devices 200, or 19 conduct such functions in background to provide support for 20 the relatively limited processing capabilities of devices 21 22 200. 23 A further important function of host computer facility 206 is to retrieve multiple elements of a single patient record 25 from multiple heterogenous remote databases and to deliver 26

- 1 them to users for assembly into a virtual patient record by
- 2 an interface device 200 or 201, in response to the user's
- 3 call for that record.

- 5 Host facility 206 can reach out nationally, or
- 6 internationally, for example across the INTERNET (trademark)
- 7 to multiple remote databases such as remote databases 210
- 8 shown on the right hand side of Figure 16, to provide to
- 9 users of interface devices 200 data resources beyond (and
- 10 potentially more current than) those available from direct
- 11 storage in the device or at the host facility.

12

### Communications

Communication between host computer facility 206 and remote databases 210 will usually be via wire lines such as

- 16 telephone, or local or wide area network communication via
- 17 copper line, or optical fiber, or any other suitable
  - 18 communication medium. Clearly, host computer facility 206
  - 19 can access any remote third party database with which
  - 20 appropriate arrangements have been made, or can be made on
  - 21 line, and some possible source databases for patient records
  - 22 components are labeled as Hospitals Insurance, Drug
  - 23 Benefit Cos, Pharmacies, Labs and Independent Physicians".
  - 24 Drug information may be additionally sourced from
  - 25 pharmaceutical companies' research centers, reference
  - 26 libraries, or publishers and the like.

- One or more pools of users of devices 200 and computers 201
- 2 constitute a valuable professional audience and the system
- 3 provides a valuable means enabling such third party database
- 4 proprietors to become data publishers and electronically
- 5 publish or post their databases or on the network to reach
- 6 that audience.

- 8 Using recognizable common record element identifiers, for
- 9 example patient identification numbers or drug identifiers,
- 10 host computer facility 206 forages across available networks
- 11 for similarly identified record elements to retrieve.
- 12 Employing its information directory services as locators,
- 13 host computer facility can retrieve a variety of data
- 14 including patient-specific data, application-specific data
- 15 (users preferences and the like), organization-specific data
- 16 (formulary guidelines, for example) and general drug or
- 17 prescribing data, e.g. from MEDLINE.

- 19 To assist with compatibility problems with the legacy
- 20 systems operating at remote databases 210 and to avoid heavy
- volumes of user calls, via the systems of the present
- 22 invention, interfering with or slowing down the daily
- operations at the proprietary facilities supporting the
- 24 remote data bases 210, this embodiment of the invention
- 25 provides, at each of a limited number of remote databases
- 26 210 known to be a significant source of patient record

- 1 elements, a dedicated data warehouse 212. Data warehouses
- 2 212 can be real or functional, depicting either actual
- 3 physical embodiments of system-dedicated services located at
- 4 the facilities of remote databases 210, or logical functions
- 5 executed at the host computer facility 206.

- 7 Data warehouses 212, host computer facility 206,
- 8 communications router-gateway 204 and communications
- 9 services 204 are components of a conceptual integrating
- 10 network 214 which brings users of devices 200 and 201
- 11 transparent access together with the resources available at
- 12 remote databases 210, and preferably gives those users a
- 13 seamless appearance, as though data stored piecemeal at
- 14 multiple remote databases 212 were directly available from a
- 15 single file across a local area network.

16

- 17 To facilitate connection with heterogenous databases, and to
- 18 give their proprietors fluent access across the network, it
- 19 is preferred that the system provides uniform application
- 20 programming interfaces, remote API's 216 for use by third
- 21 party developers. Compatible user API's 218 on the
- 22 downstream side provide similar standardized connectivity
- with user devices 200 and 201.

- 25 Integrating network 214 and API's 216 and 218 permit easy
- 26 system integration, allow third parties to develop end-to-

- end communications solutions with standardized third party
- 2 communication across the network and a data "firewall" for
- 3 security.

- 5 <u>Data Warehouses 212</u>
- 6 Each data warehouse 212 maintains replicated copies of
- 7 relevant data sets obtained by read-only access of remote
- 8 databases 210, which data sets are maintained synchronously
- 9 with updated source data at remote databases 210, or are
- 10 periodically refreshed therefrom, preferably at frequent
- 11 intervals. Data warehouses 212 can also provide search and
- 12 retrieval facilities and, in particular, provide protocol
- interchange and reformatting capabilities to reformat or
- 14 otherwise standardize data and communications across network
- 15 214, for any application to use. Preferably, to facilitate
- 16 compliance with the desired auditability of the transactions
- 17 and data accesses of preferred embodiments of the invention,
- 18 data warehouses 212 screen data incoming from associated
- 19 data warehouses 210 for date-stamping, and preferably, also
- 20 time-stamping, of individual received data or record
- 21 elements, and reject those that lack such stamps.
- 22 Preferably also, the date stamp indicates origination,
- 23 creation or updating of the data element, rather than being
- 24 merely a date of entry of the data element into data
- 25 warehouse 212.

- 1 Source data generated by point-of-care or other transactions
- 2 at user interface devices 200 or computers 201, can be
- 3 directly posted to remote databases 210 across network 214
- 4 which bears two-way traffic. As will be apparent from the
- 5 disclosure herein, remote databases also include data from
- 6 other places, for example pharmacies, laboratories and
- 7 testing facilities.

- 9 Communications gateway-router 204 also maintains a
- 10 physician-device directory providing routing or access
- 11 information needed to establish communication protocols with
- 12 each individual physician. This device directory service
- 13 can maintain an electronic address, a device identifier or
- 14 device configuration, operating system information and user
- 15 device communications protocols for each user device
- 16 supported by the gateway-router. User ID's can be listed
- 17 separately and in preferred embodiments are accompanied by a
- 18 prioritized listing of one or more device addresses where
- 19 the user may be accessed.

20

- 21 Other temporary or permanent update means are provided to
- 22 enable a user to access the host computer facility from more
- 23 than one device, preferably using an address that is device-
- 24 independent.

25

26 It will be understood that an individual host comput

- 1 facility 206 can serve one group of users that may, for
- example, be defined geographically and may number from, for
- 3 example, as low as 10 or 20 users in the early days of
- 4 establishment of the facility to hundreds and thousands as
- 5 the facility matures. To service more users or to service
- 6 users in other geographical areas, additional host computer
- 7 facilities 206 can be established as centralized or
- 8 regionally distributed hubs. Such additional host computer
- 9 facilities 206 will, in all likelihood, access many of the
- 10 same remote databases 210. Preferably, switching or
- 11 rerouting means are provided to optimize data traffic loads
- 12 between multiple host computer facilities 206.

14 It will also be understood that a national or international

- 15 network can be created by establishing a sufficient number
- of host computer facilities 206 in strategic locations, each
- 17 serving a local client base of, for example campus or
- 18 regional users, with interface devices 200.

19 20

#### Summary

The foregoing description has emphasized an approach to therapy prescribing which records an association between a

- 23 therapeutic agent (drug) and a condition or problem targeted
- 24 for resolution or amelioration by the prescribed therapeutic
- 25 agent. Significant benefits derive from organizing known
- 26 therapeutic agents according to conditions for which they

are known to be effective, and emphasis has been placed herein on a drug selection and specification which begins with selection of a problem or condition to be treated, believes to be apposition and be in apposition and be in apposition.

4 because this is be an appealing and beneficial approach in

5 many circumstances. Frequently however, the physician may

6 know exactly what drug they wish to prescribe, in which case

they can proceed to a direct drug entry screen, and then

specify the condition targeted by the prescribed treatment.

9

7

While emphasis has also been placed in the principle
examples on the prescription of drugs, it will be
appreciated that the invention can be beneficially applied
to the specification of other therapies and technical
remedies for example to the specification of surgical
procedures, physical therapies and diagnostic testing.

16

Preferred embodiments of the invention include quick and 17 easy routines for directly posting a drug to a prescription, 18 without prior condition selection, such routines preferably 19 20 being by-passed. In order to gain the subsequent historical review and outcome study benefits described 21 herein, it is preferred to provide for inclusion of a 22 treatment objective of the prescribed drug in the 23 prescription record before completion of the prescription. 24

25 The treatment objective can be rapidly selected from a

26 system-supplied list of a patient's existing or historical

- 1 conditions, or through powerful system-aided selection of a
- 2 new condition. While a default patient condition or
- 3 problem may be suggested by the system for a particular
- 4 prescribed drug, it is preferred that such default be
- 5 actively confirmed by the prescribing user before being
- 6 accepted by the system.

- 8 To accommodate direct prescribing by drug name, Drug field
- 9 88 of the prescription creation screen of Figure 3, can open
- 10 a personalized or customized user-activatable drug list, or
- 11 proceed to comprehensive system drug lists to enable rapid
- 12 specification of familiar or unfamiliar drugs prior to
- 13 condition selection. Drug dosage selection then proceeds as
- 14 described above. Before leaving prescribing zone 44 of the
- 15 prescription creation screen 39 the system can require an
- 16 appropriate entry to be made in Condition field 86.

17

- 18 Other preferred embodiments enable the patient, the
- 19 prescribing physician and the relevant organization to
- 20 control the flow of their own data by predetermining access
- 21 rights to that data. Every transaction can be stamped with
- 22 a patient identifier, a prescriber identifier and, if
- 23 appropriate, an organization identifier, as well as with the
- 24 date and time of day.

25

26 Emphasis on preferred, historical or customized short lists

- 1 of drugs and conditions enables an attractive working
- 2 environment to be provided even on relatively low power
- 3 PDA's. Short list data may be maintained on the user device
- 4 providing rapid responses in the user's most common
- 5 prescribing situations. Less common situations entail calls
- 6 to the host computer facility, in which circumstances delays
- 7. of a few seconds while data is retrieved from the network
- 8 are quite acceptable.

10

## System requirements

- 11 User software components of a currently preferred embodiment
- 12 of prescription management system described herein are
- 13 designed to run under an operating system that preferably
- 14 supports a full or modified version of MS-DOS® (trademark,
- 15 Microsoft Corporation) WINDOWS™ (Microsoft Corporation) or
- other systems with user-friendly graphical interfaces, for
- 17 example Apple Computer Co.'s MACINTOSH (trademark) or NEWTON
- 18 (trademark) operating systems and General Magic's MAGIC CAP
- 19 operating system. Other graphical environments can be used
- 20 or are being developed and other embodiments of the
- 21 invention may be suitably modified to optimize the
- 22 application to take advantage of the unique characteristics
- 23 of each such operating system environment.

- 25 The programming language used to write system software
- 26 depends upon the environment of the various system

- 1 components. In their present stage of development, some
- 2 handheld PDA's require applications to be written with the
- 3 tools provided by their respective operating systems such as
- 4 NEWTON or MAGIC CAP (trademarks). For other devices such as
- those supporting Microsoft's WINDOWS (trademark) operating
- 6 system, including some PDA's, a range of languages can be
- 7 used including for example, popular programming languages
- 8 such as Microsoft Corporation's "C" or Borland
- 9 International's "C++". For Apple Computer's MACINTOSH
- 10 (TRADEMARK) based systems, languages such as THINK
- 11 (TRADEMARK) are appropriate.

- 13 The system is particularly advantageous when implemented on
- 14 any of a variety of portable computer stations especially
- 15 handheld units such as personal digital assistants and other
- 16 personal information communicators equipped with wireless
- 17 communicators. A preferred embodiment for mobile
- 18 professionals comprises such a handheld unit with two-way
- 19 radio or infrared communication facilities. Some such
- 20 devices are referenced in a "BUYER'S GUIDE: PERSONAL DIGITAL
- 21 ASSISTANTS" PC WEEK August 29, 1994, pages 89 and 94 the
- 22 disclosure of which is hereby incorporated herein by
- 23 reference thereto.

- 25 For compatibility with the currently rather limited
- 26 performance specifications of such desirable handheld

- 1 devices the prescription management system of the invention
- 2 is preferably designed to minimize the storage and
- 3 processing requirements placed on the user's terminal and to
- 4 off-load storage and processing to host computer facilities.
- 5 Thus, the system's support architecture aims to supply to
- 6 the user terminal only essential data required for screen
- 7 displays and other user functions, on an as-needed basis,
- 8 while the network stores applications and data files, for
- 9 example at the host computer facility.

11

### Modified Embodiments of the Invention

- 12 While the invention has been described with a reference to a
- 13 particularly valuable embodiment of a prescription
- 14 management system, it will be understood by those skilled in
- 15 the art that alternative embodiments of the invention can
- 16 bring valuable benefits in their respective fields where
- 17 informed choice is desirable and can be facilitated by
- 18 interactive computer-assisted decision-making, especially in
- 19 situations where decision-relevant data is or can be drawn
- 20 from multiple heterogenous remote databases.

- 22 Some such possible applications of the invention are to the
- 23 specification of laboratory tests and also in the veterinary
- 24 field, and to non-pharmaceutical environments where benefits
- 25 such as valuable historical records and follow-up studies,
- 26 as well as quality control improvements, can be obtained

- 1 from coupling diagnostic conclusions with specified problem
- 2 solutions.

- 4 Thus, according to one such a modified embodiment of the
- 5 invention, laboratory test information can be presented to a
- 6 prescribing professional by first listing patient conditions
- 7 which the professional wishes to explore more fully by
- 8 specifying one or more specific laboratory tests, by
- 9 reporting the laboratory result and suggesting further
- 10 testing for differential diagnostics. The system then
- 11 provides a selection of laboratory tests known to be useful
- in evaluating the relevant condition, that selection and
- organization of laboratory tests being made in a manner
- 14 similar to that described for therapeutic drugs in the
- 15 preferred embodiments herein, and moves on to create, select
- 16 and order appropriate cost-controllable diagnostic testing,
- in a comparable manner to that described herein for creating
- 18 a prescription.

- 20 For example, an analogous diagnostic application may provide
- 21 cost-effective routes to rule in or rule out specific
- 22 diagnoses. The specificity and sensitivity of individual
- 23 procedures can be translated into positive predictive values
- 24 and negative predictive values. By applying decision theory
- 25 and analyzing probable outcomes of procedures or
- 26 combinations of procedures in the light of the patient's

- 1 bio-characteristics and known conditions, diagnostic
- 2 protocols can be worked up and maintained with current
- 3 recommendations. Evaluation of the patient's history can
- 4 enable pretest probabilities to be established and used to
- 5 modulate the predictive value of one or more tests. Thus
- 6 the patient's history can drive the selection and
- 7 establishment of an optimal diagnostic test matrix for
- 8 identifying a patient's condition or conditions with good
- 9 specificity and confidence levels.

- 11 Test requirements relating to patient preparations, fasting
- 12 for example, and sample collection can be system specified.
- 13 By generating system-maintained identifiers (e.g. bar code
- 14 labels) for attachment to samples at the point-of-care, a
- 15 chain of evidence for rigorous sample accessioning can be
- 16 begun.

17

- 18 Thus, a range of possible conditions can be evaluated in a
- 19 differential diagnosis format designed to rule in or rule
- 20 out a target condition, or conditions, depending upon the
- 21 results of specified tests.

- 23 Extensions into the veterinary field will be apparent to
- 24 those skilled in the art in that instead of the physician
- 25 user referenced herein, reference to a veterinarian is
- 26 appropriate, and the patient will be an animal such as a pet

- 1 dog or cat or valuable livestock, such as a steer or
- 2 breeding pig or a race horse or breeding stallion.

- 4 Again, although the invention has been described in its
- 5 preferred embodiments with reference to a physician user it
- 6 will be apparent that other medical professionals,
- 7 especially those having prescribing authority, can benefit
- 8 from applications of it.

- 10 In a more general sense, the invention provides a service
- 11 professional with significant new benefits, especially
- 12 during a service encounter with a customer or client, in
- 13 selecting, specifying or providing technical remedies to
- 14 consumer problems. For example, in specifying automotive
- 15 replacement parts a service technician can benefit from an
- 16 automotive service management system according to the
- 17 invention in which a database of replacement parts is
- 18 classified according to the service problem for which the
- 19 parts might provide a remedy. Thus, for a customer with the
- 20 problem of break squeal, the system may provide a list of
- 21 parts, for example, brake pads, brake pins, brake shims or
- 22 brake rotors, any of which may provide a remedy to the
- 23 customers problem of brake squeal. Existing systems permit
- 24 a service technician, having once identified the type of
- 25 part they need, to obtain a number or part price and
- 26 inventory on that part for the customer's specific model of

car.

However, known systems do not permit the professional to 3 query the system by customer problems, nor do they provide a 4 summary of all facets of a solution to a problem leading to 5 a summarized cost of treatment. In addition the inventive 6 system can provide access to technical literature on 7 relevant problems, for example an explanation of the factors 8 causing brake squeal which can be printed out for customers. 9 This is a rather simple example. More complex examples will 10 be apparent to those skilled in the automotive and other 11 arts, especially as this art develops, with sophisticated 12 engine management and other microprocessor controlled 13 systems raising new problems and new technical solutions 1.4

being required. The inventive system can provide customer

problem lists useful for outcome analysis to drive the

development of better cars.

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**17** 

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Of great value in the automotive and allied fields, equating 19 a parts supplier, such as a factory or warehouse distributor 20 with a plan benefit company is the ability to provide new 21 product descriptive and price information or updates from 22 multiple sources dynamically, in real time as transactions 23 24 Noting the desire of a benefits company to are created. apply practical selection guidelines in an unobtrusive 25 manner to the prescribing process, an equivalent technique 26

- can be used by car factories to help control warranty
- 2 service decisions at their dealerships.

- 4 In another embodiment of the invention illustrating its
- 5 generality, possible insurance vendors and coverage
- 6 information may be classified according to customer problems
- 7 so that, for example, an insurance agent may list different
- 8 vendors and coverage providing specific technical remedies
- 9 to a customers specific; problem, for example, a recent
- 10 major automobile collision claim. The relevant novel
- 11 supportive database could include information
- 12 differentiating between parties at fault, collision damage,
- 13 personal injury settlements and so on. In both these
- 14 examples a problem history related either to the customer or
- 15 to the customer's automobile can also be created.

- 17 It will be clear to those skilled in the art that use of the
- 18 prescription management system described herein, employing
- 19 carefully maintained databases of accurate, reliable
- 20 prescribing data will produce high quality prescriptions
- 21 free of many of the problems now plaguing prescription drug
- 22 use. With confidence that a physician is prescribing
- 23 appropriate, cost-effective drugs selected from user-
- 24 personalized lists which link to comprehensive condition and
- 25 drug lists including the latest available drugs, and that
- 26 the prescribed drug has been reviewed for contraindications,

- 1 patients benefit, oversight of the prescribing process by
- 2 benefit companies and regulatory bodies can be reduced, and
- 3 litigation resulting from prescribing errors will be
- 4 reduced. Significant improvements in the quality of care,
- 5 substantial savings and the elimination of waste can accrue
- 6 to a national or regional health care system from widespread
- 7 deployment of the inventive prescription management system
- 8 described herein.

10

## Physical embodiment of system software

- 11 The foregoing specification, read with the accompanying
- 12 drawings provides an extensive disclosure of, inter alia,
- various embodiments of systems and software facilitating
- 14 professionals to select or specify technical products to
- 15 solve practical problems, and also to create, or assist the
- 16 professional to create, new products which will assist the
- 17 professional or their client in achieving desired problem-
- 18 solving goals.

- 20 It will be understood that the systems and software
- 21 referenced herein include, either explicitly, or implicitly,
- 22 software implemented on computers or other appropriate
- 23 hardware, including user devices such as the personal
- 24 digital assistants described herein, and such other
- 25 intelligent data processing devices having a processor, data
- 26 storage means and the ability to support an operating

- system, with or without user interfaces (for example, file 1
- servers,), as may be useful in achieving the objectives of 2
- 3 this invention.

- Software components and applications embodying the invention 5
- can be distributed in electronic bit storage on magnetic, 6
- optical, bubble or other media, optionally in transportable 7
- form to be interactive with an electronic reading device, 8
- for example on computer or optical diskettes, or may be 9
- distributed over wired or wireless networks for storage by **10** 
  - the recipient on such media.

- Preferred embodiments of the invention provide such media-
- stored software in a commercial package accompanied by 1,4
- instructions in printed book or booklet form, for deployment 15
- of the software on particular embodiments of general purpose 16
- computer to cause same to operate as a special purpose 17
- computer, in accordance with the objectives of the 18
- invention. License agreements, and registration means for 19
- updating may also be included. Alternatively, the 20
- instructions may also be provided as data files. 21

- It will further be appreciated that such media-stored 23
- software constitutes an electronic customizing machine which 24
- can interact with a magnetically or optically cooperative 25
- computer-based input device enabling the computer to be 26

customized as a special purpose computer, according to the 1 contents of the software. To cause a computer to operate in 2 such customized, special-purpose mode, the software of the 3 invention can be installed by a user, or other, and will usually interact efficiently with the device on which it is 5 resident to provide the desire special-purpose qualities, 6 only after selection of configuration parameters. When so 7 configured, the special-purpose computer device has enhanced 8 value, especially to the professional users for whom it is 9 回 回 回 二 11 三 12 回 13 : intended. While some illustrative embodiments of the invention have been described above, it is, of course, understood that various modifications will be apparent to those of ordinary skill in the art. Such modifications are within the spirit ☐ ☐ and scope of the invention, which is limited and defined **≟17** only by the appended claims. 18 Thus, while certain aspects of the invention have been 19 disclosed as embodied in connection with a prescription 20 management system, it will be apparent that they have 21 broader application in other systems or environments. 22 of these aspects are: dynamic assembly of records from 23

heterogenous remote databases; requirements for those elements to be time- and date-stamped for retrospective

source record elements retrieved across a network from

24

25

- 1 recreation of records from archival logs; physician-centric
- 2 drug formularies; data-access control systems and software;
- 3 the novel directory services described herein and associated
- 4 online point-to-point e-mail and data retrieval systems;
- 5 data retrieval networks with API-enabled end-to-end
- 6 transparency; novel outcome studies, monitoring and alerting
- 7 procedures, studies and related products; novel scheduled
- 8 dosage drug packs and dispensing devices, and so on.